



MEETING:

DATE: Monday 13 January 2020

TIME: 1.30 pm

VENUE: Formby Professional Development Centre, Park Road, Formby

Member

Substitute

Councillor
Ogden
Councillor Hands
Nigel Bellamy
Mike McSorley
Brenda Porter
Catherine Earley
Sue Clare
Jon Horrocks
Wendy Corless
Mary Johnson
Sharon Cowey
Sue Kerwin
Isobel Macdonald-Davies
Danny Macreavy
Bernie Coxon
Malcolm Parry
Simon Penney
Niki Craddock
Ian Raikes
Matt Symes
Mary Wall
Nicola Walsh
Jones

Councillor

COMMITTEE OFFICER:

Nicola Robson
Clerk to Schools Forum
0151 934 3741

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colette.jones@sefton.gov.uk

If you have any special needs that may require arrangements to

facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

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A G E N D A

1. **Apologies for Absence - Chair**
 - a) **Membership List - Chair** (Pages 5 - 6)
Attached

2. **Minutes of the Last Meeting - 4th November 2019 - Chair** (Pages 7 - 12)
Attached

3. **Matters Arising - Chair**

4. **Schools, High Needs, Early Years and Central Services Budgets 20/21 - Mark Chambers** (Pages 13 - 44)
Report and six appendices attached

5. **Infant Class Size and Pupil Growth Funding allocations 2019/20 - Mark Chambers** (Pages 45 - 52)
Report and one appendix attached

6. **Trade Union Facilities Administration - Mark Chambers** (Pages 53 - 60)
Report and Appendix attached

7. **Early Years Development Group - Cathy Earley or PVI Rep** (Pages 61 - 74)
Updates with copy of minutes from 24th October and agenda and a copy of an updated/amended finance report from 19th December 2019 meeting

8. **High Needs Funding Workshop Sub-Group - Mark Chambers**

9. **Any Other Business - Chair**

10. **Date of Next Meeting**
16th March 2020, 1.30 p.m. – 3.00 p.m.
Formby PDC

Forum Membership 2019 / 2020

Primary Headteachers	Vote	Primary Academy Headteacher	Vote
Anna James (Headteacher Hatton Hill Primary School) Niki Craddock (Hudson Primary School) Jennifer Taylor (Headteacher Farnborough Road Infant School) Daniel MacAreavy (Headteacher St John Bosco Catholic Primary School)	1,2,3,4	Sue Kerwin (Headteacher St Andrews Church of England Primary School)	1,2
Secondary Headteachers			
Ian Parry (Headteacher Meols Cop High School) Erin Wheeler (Headteacher Christ the King Catholic High School and Sixth Form Centre)	1,2,3,4	Gill Bourgade (Headteacher Birkdale High School) Amanda Ryan (Principal Hillside High School)	1,2
Special Headteacher			
Toni Oxton-Grant (Headteacher Crosby High School) Wendy Corless (Headteacher IMPACT)	3	Brenda Porter (Merefield School)	3
Primary Governor Rep			
Malcolm Parry (Trinity St Peters Church of England Primary) Bernie Coxon (English Martyrs Catholic Primary) Cllr Richard Hands (Birkdale Primary School)	1,2,3,4	Isobel MacDonald-Davies (Christ the King High School & Maintained Governor)	1,2,3,4
Secondary Academy Governor Rep			
		Dorothy Lee-Ogden (Chair / Stanley High School & HS Academy Rep)	1,2
Nursery Headteacher			
Cathy Earley (Headteacher / SENCO Greenacre Nursery School) Ginny Taylor (Wind in the Willows)	3	Nursery Governor Rep	
Union Rep			
Roz Moreton			
SMBC attendees			
Vicky Buchanan (Head of CSC) Tricia Davies (Head of Education) Nicola Walsh (Virtual Headteacher) Mark Chambers (FS) Nick Carbonaro (FS) Kevin McBlain (FS) Nicola Robson (Clerk)			

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Sefton Schools Forum Minutes

Minutes of Sefton Schools' Forum Meeting

Monday 4th November 2018 1.30pm

PDC, Formby

Forum Members present:

In attendance:

Dorothy Lee-Ogden, Isobel McDonald-Davies, Anna James, Daniel MacAreavy, Niki Craddock, Jenny Taylor, Neil Moore (Stanley H Stand in for Hillside Rep Amanda Ryan), Ein Wheeler, Ian Parry, Toni Oxtan-Grant, Cathy Earley (Early Years), Ginny Taylor, Vicky Buchana, Nicola Walsh, Mark Chambers, Kevin McBlain

Actions

- 1 **Apologies**
Amanda Ryan – Hillside represented by Neil Moore Stanley High
Malcolm Parry
Sue Kirwen
Julia Ward
- 2 **Minutes from the last meeting**
Minutes were agreed
- 3 **Matters arising from the minutes**
Early years sub group on 24/10 has approached a new independent chair. Interim sees Linda McQueen is Vice Chair.
- 4 **Schools Forum membership 2019/20 (to be tabled)**
The list of members was tabled and amendments were noted, this will be sent out with the minutes. Any gaps in representation will need to be identified and filled.
- 5 **Feedback from Schools Forum sub groups**
The EY Development Group had had 2 meetings so far, the first to determine terms of reference, the second identifying individuals needed to be involved and other EY issues.
The SEN School forum group now also feeds into the Continuous Improvement Board. It is developing its terms of reference and remit. The Continuous Improvement Board is developing a plan that the SEND sub group will fit into.
High needs sub group has not met as a sub group of the Schools Forum as there was the development of the Continuous Improvement Board, where it met under that remit. The group has re-established as a sub group of this forum last month and is working on revised top up funding arrangements and looking at the whole HN top up system and at the funding process across two separate panels who currently meeting.
There is a need to review the workings of the high needs panel to

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review transparency and consistency, and a need to re model the panels in the future.

There is a need to Identify the established links between sub groups of the School Forum. At next meeting of the sub groups they are asked to identify link members between sub groups. Forum sub groups should be a standing item on the Schools Forum agenda with feedback from representatives and minutes provided for Forum members.

ACTION: Identify link members between sub groups and forum.

6 Early years budget position

News from finance:

Information received last week was of £66m across early years provision nationally, Sefton will see an increase of at least £0.08p per hour for both 2-Year-Old and 3-4-Year-Old provision, with rates increasing to £5.28 p hour from £5.20 and £4.38 p hr from £4.30 respectively from April 2020. Finance will work the figures out and bring to the next Early Years Development Group in December, to discuss how this is passed onto providers.

Funding given to protect Maintained Nursery Schools has been extended at least until the end of the 2020/21 Financial Year.

Report was presented by Kevin McBlain – please refer to the report for details of the information given.

The report was an update - To provide members with information on the Early Years forecast spending position for 2019/20 and to report on the DfE's announcements on Early Years formula funding for 2020/21.

The Forum was asked to

1. Note the 2019/20 Early Years forecast outturn position and the DSG Early Years Reserves following changes agreed at the last Schools Forum;
2. Note the intention of the DfE to increase Early Years formula funding for 2020/21 for 2; 3 and 4 Year olds and that this will be passed on to Providers once details become known.
3. Note the intention to use £200k from Early Years formula floor funding towards High Needs cost pressures in 2020/21, similar to 2018/19, and 2019/20.

Comment that mention in report of 40 places for SEND was also for looked after children and siblings of children with SEND, it is not exclusively SEND.

Comment: Reserves used for high needs funding but need be assured the 40 eligible places are not lost due to funding not being available. Request: for a breakdown of what is top sliced off early years budget. Approximately 2 years ago received a SEN sheet showing each cost centres in high needs budget broke down into spend, would like that for Early Years also showing what % of early years funding is being used on high need care. High needs budget has early needs support within too.

Finance will bring a report to the next Early Years Development group, which is best placed to discuss funding and ideas of how funding can be used.

ACTION: Finance to produce a breakdown report to be presented to EY Development Group.

7 **Update on the Schools and High Needs Formula Indicative Funding for 2020/21**

A report was presented by Kevin McBlain and Mark Chambers. The purpose of this report is to discuss the indicative Schools and High Needs funding for 2020/21 and to consider some draft funding models as part of a consultation with Schools. Revised papers were tabled as those sent out contained some errors.

That the Forum notes the report – please refer to the report and updated figure reports which will be available with these minutes.

Kevin talked through the report and the figures.

The forum was asked to

1. Agree for the LA to draft a 2020/21 schools' formula funding model on the same, or similar basis, as 2019/20, using Local formula factors and assuming to include any announced uplifts in funding by the DfE. OR Suggests the LA adopts the National Formula Funding model.
2. That consultation regarding the schools funding formula will run for four weeks throughout November and will consist of a funding model containing use of Sefton's local formula factors as 2019/20 and a model using NFF factors as prescribed by the DfE in comparison. (The final funding allocations will only be made available to Local Authorities in December, following the publication of the October Schools Census data.) A proposed 2020 - 21 formula would then subsequently be presented to Schools Forum in January at budget Forum for final approval.

That Schools Forum agrees with the Local Authority's request to maintain the same level of inter-Block support from Schools (0.5% Schools Block allocation) and Early Years (£0.200m), as 2019/20, towards High Needs funding pressures in 2020/21. Note – action regards the Schools Block contribution will be voted upon as part of another report on High Needs in this agenda.

The forum discussed and requested discussion about which direction the forum would like to take.

Anna – Made the point that those schools who have high levels of deprivation, which has been identified by national agenda within the National Formula Funding model, would largely benefit from moving towards the National Formula, rather than staying with the Local formula model and she suggested that perhaps the LA should look to provide a hybrid model somewhere between the existing model and the NFF as a full move towards the NFF may not be acceptable to some schools.

Difference in funding for free school meals element values between primary and secondary is confusing, can this be investigated?

Secondary figure is much higher!

National funding model will become the only way in the future, do we do it now whilst extra funding being put in or wait and stay with local formula. In the NFF everyone gains but some not as much as the local formula.

Comment – should there be a setting the objectives before setting the budgets.

Revised comparisons showing a few finance models will be worked up by finance to show a hybrid of NFF; a full move to the NFF and the local model. These will be sent out by end of this week as requested by Forum members. Reports to be taken to and discussed at SAPH on

ACTION: Finance will investigate and feedback the difference in costings of free meals funding between primary and secondary.

ACTION: Revised comparisons

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26/11 (CLAC) and SASH on 10/12 (PDC). Finance happy to attend meetings to talk through objectively.

Decision back through SAPH and SASH representatives/Chairs via email to Kevin and Mark by end of week 13th Dec 2019.

Timetable for the Schools funding allocations: Final budget meeting of Schools Forum on 13th January. Return to Government 16th January.

**showing
different models
of funding**

8 **High needs budget position at September 2019**

Report was presented by Kevin McBlain / Vicky Buchanan, please refer to report for full details.

To discuss the current forecast overspending on High Needs and 2020/21 funding issues. 2019/20 High Needs overspending is now forecast to be between £3.5m and £4m. At this level, it would place the DSG into an overall deficit position in excess of £2m (i.e above 1% of DSG Funding) by the year end, including Central Schools and Early Years forecasts and including the DSG net deficit on reserves brought forward from 2018/19 (£0.230m).

Forum Members are asked to note the report and the updated forecast overspending position on High Needs of between £3.5m and £4m this year.

Note the likely requirement to provide a deficit recovery plan for the DfE by June 2020, should the level of the DSG deficit exceed 1% of DSG funding (c. £2m)

Note the intention, over the coming months, to consider a High Needs cost recovery plan from April 2020, or before, in conjunction with all partners across a range of areas, and any other action considered necessary to reduce spending in 2019/20.

Note the intention of the Local Authority to continue with the Early Years Block contribution (£0.200m) towards High Needs budget pressures in 2020/21.

Funding update was given, showing national high needs funding being increased by £780m with Sefton receiving £3.8m in 20/21. No indication of extra funding beyond that date. Despite level of increase of funding, we still need contribution from school to support the HN funding block due to increase of demand and costs. This information has already been to SAPH.

Questions: Increased staffing in SEN – Is cost from DSG budget?

No, it is coming from Council reserves.

What are the plans for future?

Money from reserves is for a fixed term additional resource for bringing into line the timeliness of EHCP, by clearing of the backlog. After this it returns to a sustainable level it will return to business as usual with the appropriate staffing to process EHCP's in a timely way. Previously, grants funded the staffing that facilitated more EHCP plans being completed on time, those grants ended and the waiting time increased. The Council committed to funding this service in the future, following the clearing of the backlog.

ACTION: Requested Council funding into SEND staffing and service to be provided by finance.

Comment: Strategic planning for high needs services is needed as currently it is reactive and at short notice. SEND sub group and

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Continuous Improvement is trying to bring this more together, in a consistent, fair and transparent way.

Feedback: Schools have started to see improved communications from high needs decisions both in speed and accuracy.

8a **Vote**

The Local Authority requests the mainstream Schools representatives on Schools Forum to vote on the issue of agreeing a Schools Block contribution to High Needs in 2020/21 equivalent to 0.5% of the Schools Block funding (Est £0.819m) in advance of progressing the final Schools funding models for 2020/21.

This funding will not be used to net off historical deficits. It will be ringfenced to support funding in 2020/21 of additional provision in mainstream schools. The funding will stay within the mainstream system but be directed towards the most inclusive schools to alleviate funding gaps that result from supporting SEND pupils in the mainstream sector.

Before voting:

Primary heads wanted it raised about the level of communication re the Notional SEND budget, if a school has the number of pupils over a certain % they received additional budget at the end of the year. This was stopped with no communication this was happening, this has effect on supporting budget planning.

Question: Who decided to end this and when was it made? Why were the schools not informed?

Schools would like a little more transparency, they can see improvement being made by Council. E.g. if agree to the contribution to budgets they want to know where it was going for example, tell the school forum if places allocated from that funding. The Council have committed to doing this.

Vote to allow the transfer of 0.5% of the 2020/21 Schools Block funding to be transferred to High Needs in support of significant budget pressures.

4 – For

0 - Against

2 - Abstain

Carried – agreed.

9. AOB

There was no additional business.

Next Meeting

13th January 2019 at 1.30pm in Formby PDC

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Sefton Schools Forum Report

Date of Meeting:	13 January 2020
Title of Report:	DSG Funding Allocations to Schools; Central Schools Services; High Needs and Early Years Blocks for 2020/21
Presenting Officer:	Tricia Davies/Kevin McBlain
Reason for Submission to Forum:	(1) ITEMS FOR APPROVAL & (4) ITEMS FOR INFORMATION
Executive Summary:	<p>To provide members with information on the 2020/21 proposed funding allocations to Early Years; High Needs; Individual Schools Budgets and the Central Schools Services Block (including delegation and de-delegation) on which Schools Forum are asked to formally approve as required.</p> <p>To note the intention of the Local Authority to submit the final Authority Proforma Tool (APT) Return on Schools funding to the DfE by the deadline of 21st January 2020 to enable School Budgets to be set for 2020/21. This will be based on the agreed approach to the Schools formula after consultation with schools.</p>
Budget/Risk Implications:	None
Recommendations:	<p>The Schools Forum is asked to note and/or agree the following recommendations:</p> <p><u>FOR NOTING BY SCHOOLS FORUM</u></p> <ul style="list-style-type: none"> • Note the overall DSG funding for 2020/21 and the allocation assigned to each of the 4 funding blocks including any adjustments to be made. • Note the Local Authority recommendations in support of the Individual Schools Budgets (ISBs) for 2020/21 (before any de-delegation from Maintained Schools), and in line with the general direction given by SAPH and SASH during November/December 2019, on the approach to apply to the schools formula; and note the provision of the APT Return in respect of the Schools Formula after reducing the Block funding for: the contribution by the Schools Block towards High Needs budget pressures in 2020/21 (as agreed previously by Schools Forum); and for the Infant Class size/Pupil Growth contingency adjustments (subject to approval by schools, below) • Note the provision of funding for High Needs in 2020/21, and the continuing review of High Needs by the LA, in conjunction with schools, throughout the early part of 2020, to try to make efficiencies and reduce/contain expenditure in 2020/21.

	<ul style="list-style-type: none"> Note the provision of funding for Early Years and the intention of the LA to increase the base hourly rates payable to all EY Providers for 2; 3 and 4-Year-old childcare by £0.10p p hour from 1 April 2020. Note the contribution from the Early Years funding Block towards High Needs budget pressures in 2020/21, as determined by the LA. <p><u>ITEMS REQUIRING A VOTE OF FORUM REPRESENTATIVES AS NECESSARY</u></p> <ul style="list-style-type: none"> To agree by a vote, to the proposed contingency allocation of £0.600m in support of significant pre-16 pupil growth in 2020/21 and in compliance with KS1 infant class size legislation and as described in Paras 3.7 to 3.10 below (ALL Primary and Secondary School Phases to vote) To agree by a vote to support the Central Schools Services Block (CSSB) funding allocation as proposed (totalling £1.150m 20/21) with associated services. See Paras 6.1 to 6.5 below (ALL Primary and Secondary School Phases to vote) To agree by a vote to the rates to be applied against Maintained Schools funding for 2020/21, in recovery of statutory costs incurred by the Local Authority on their behalf. This item was originally agreed as a de-delegated item in 2018/19 and 2019/20; and will need to be agreed for 2020/21 by Forum today. Costs are treated as de-delegated through the APT and raised through a charge per pupil for Primary and Secondary schools of £19.14; £47.62 per Place for Special schools; £47.62 for AP Places; and £28.55 per FTE place at Nursery schools. See Paras 7.1 to 7.3 below (Maintained Schools only to vote by phase as required, but including Primary; Secondary; Special/AP and Maintained Nursery Phases) To agree, by a vote of the Maintained Schools Sector only, the basis for and, de-delegation of further specific central services as shown in para 7.6 Items A to E below, to be pooled centrally by the local authority to provide a service to all 'maintained' schools. (Maintained Primary and Secondary Schools Only to vote by phase, as necessary) <p><u>FINAL OUTCOME FOR NOTING</u></p> <ul style="list-style-type: none"> Schools Forum note the intention of the Local Authority to submit the final APT for the 2020/21 schools' formula funding to the DfE, by the deadline of 21st January 2020.
<p>Appendices (to be attached)</p>	<p>Appendix 1-Detailed analysis of Schools Block Funding between 2019/20 and 2020/21</p>

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Schools Forum [13th January 2020]

	Appendix 2 –Draft APT 2020/21 Pro Forma DfE submission (APT) to be submitted to the DfE by 21st January 2020 Appendix 3 - Individual Funding Allocations for Primary and Secondary Schools in 2020/21 Appendix 4 – Changes in the funding allocations 2019/20 to 2020/21 within the Schools Formula for information. Appendix 5-Early Years funding changes between 2019/20 and 2020/21 Appendix 6-High Needs funding changes between 2019/20 and 2020/21
Background Papers <small>(available on request)</small>	2020/21 DSG Funding Announcement – 19 December 2019 Copyright Licences – DSG Announcement 19 December 2019
Report Originator and Contact Details	Name: Kevin McBlain Tele: 0151 934 3222 E-mail: kevin.mcblain@sefton.gov.uk

SCHOOLS' FORUM POWERS & RESPONSIBILITIES

- 1 ITEMS FOR APPROVAL (DfE can adjudicate if Forum does not agree LA proposal)
- 2 ITEMS FOR CONSULTATION (Consultation with Forum but LA decides)
- 3 ITEMS FOR CONSULTATION (Consultation with Forum but DfE decides)
- 4 ITEMS FOR INFORMATION (No formal consultation of the Forum is sought)

1. **Background**

- 1.1 The 2020/21 Dedicated Schools Grant settlement was announced on 19 December 2019 and is based on four main funding blocks; Schools; Central School Services; High Needs and Early Years. This is in line with Department for Education (DfE) announcements regarding 2020/21 funding guidance issued in September 2019. An early draft of the schools APT funding Pro-forma was sent to Local Authorities in October, to enable them to draft potential formula allocations for discussion with Headteachers and Schools Forum for 2020/21. Schools Forum received a paper in September and November discussing any major changes arising from the revised DfE guidance for 2020/21. These mostly revolved around changes to the national funding formula elements including an uplift to the MFG; changes to the minimum per pupil funding; and significant growth in funding levels.
- 1.2 National Funding announcements were made by the Government in the Summer regards Schools and High Needs, with a 3-year funding growth allocation promised, totalling £7.1bn between 2020/21 and 2022/23, against the 2019/20 baselines. The funding settlement includes: £2.6bn in 2020/21 (incl £780m for High Needs); £2.2bn in 2021/22 and £2.3bn in 2022/23. No specific growth value was identified for High Needs beyond 2020/21.
- 1.3 The rollout of the 'hard' Schools' National Funding Formula, to the point where the DfE effectively controls all funding to schools, has now been put back to 2021/22, and Local Authorities have been allowed to continue to apply a 'Local' formula funding model in 2020/21, or move partly, or completely, to the National Formula Funding (NFF) model.
- 1.4 Other headline changes for 2020/21 include an increase to the Minimum Per Pupil Funding levels for Primary pupils, from £3,500 to £3,750; and for Secondary pupils, from £4,800 to £5,000. The Primary level will increase further in 2021/22, to £4,000. These changes are mandatory requirements on Local Authorities to apply within their Schools formula.
- 1.5 The DfE have also stated that the Minimum Funding Guarantee for 2020/21 must be set locally between +0.5% and +1.84% (Sefton used minus -1.5% in 2019/20). This guarantees that schools would gain at least an increase of +0.5% funding against their 2019/20 baseline funding, or up to a maximum of +1.84% to reflect the increased level of funding available for 2020/21. The NFF model assumes the floor is set at the maximum +1.84% MFG.
- 1.6 The 2018 and 2019 Teachers Pay and Pensions increase grants will continue to be supported by separate allocations in 2020/21, before possibly being subsumed into the formula funding from 2021/22.
- 1.7 Sefton Headteachers were consulted by Finance officers in November/December, on 3 possible financial models, to help the LA determine the general approach to be taken for setting the 2020/21 Schools funding allocation. There was broad agreement from Headteachers that they wished to move towards the new National Formula Funding and so a 'hybrid' funding model has been adopted for 2020/21. This approach should help ease the transition to the 'hard' National Formula from 2021/22, when this will be implemented.

- 1.8 DfE guidance in September 2019, stated that Local Authorities could again consult with schools and ask their Schools Forum to support a funding Block transfer of up to 0.5% of their total Schools block allocation to other Blocks. A request was subsequently made by the LA to Schools Forum in November, to agree another 0.5% contribution from Schools Block towards High Needs in 2020/21, and Schools Forum voted by a simple majority, to agree the contribution of a full 0.5% of Schools Block funding to High Needs next year. The full value of this is £0.823m in 2020/21.
- 1.9 In addition to the transfer of funding from the Schools Block to High Needs in 2020/21, a transfer of £0.200m will be made from Early Years funding, taken from ongoing contingency / transitional formula funding. This is considered affordable and will not impact on the funding paid to Early Year Providers.
- 1.10 The additional funding to Schools in 2020/21 (including pupil growth funding) is just over £5.7m, representing a +3.6% increase in funding from 2019/20, with every school gaining some extra funding next year, subject to changes in pupil numbers. The increase in funding to High Needs is £3.8m in 2020/21. This is significantly more than previous years and will help towards meeting the ongoing budget pressures across High Needs in 2020/21, subject to a review of local funding allocations and changes necessary to control spending.
- 1.11 As part of the Schools Block allocations from 2019/20, Forum members will be aware the DfE adopted a new method of allocating Pupil Growth funding based on actual movement of pupil numbers between the last two years of census data. Funding of £1,425 per Primary pupil Growth and £2,130 per Secondary pupil growth has then been applied to the new data to give a fresh allocation. This change has seen Sefton's funding increase from £0.577m in 2019/20, to £0.946m in 2020/21.
- 1.12 The DfE are not prescriptive as to whether all this funding should be managed centrally towards pupil growth, or whether a smaller figure is set aside. This is a decision taken by each Local Authority with their Schools and Schools Forum. The Local Authority is already supporting a number of schools who have increased their PAN to support population growth within the borough. The implementation of the Sefton Local Plan is now underway and as a result there is a need to increase school places in specific areas to support increasing pupil population numbers. The LA would therefore recommend, that in 2020/21, £0.600m be set aside for Pupil Growth, with the remaining balance of this funding (£0.346m) being delegated to schools through the Schools Formula, to support ongoing pressures within the education system (See paras 3.7 to 3.10 below).
- 1.13 Using the DfE's final allocations for 2020/21 based on October 2019 census pupil numbers, a revised schools funding model has been produced, adopting the hybrid NFF funding model to the formula and figures, as agreed by both Phases. This has now been included in the Authority's final Authority Proforma Tool (APT) Return, which, if ratified by Schools Forum today, will be submitted as final, to the DfE by their deadline of 21st January 2020.
- 1.14 The final formula model therefore includes the following decisions and formula element adjustments:

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Schools Forum [13th January 2020]

- The agreed deduction from the DfE's Schools Block funding allocation of £0.823m (split £0.440m Primary and £0.383m Secondary) towards High Needs in 2020/21 before applying the individual formula funding to schools.
- The application of Business Rates with an inflationary uplift for 2020/21 of +1.63% on 2019/20 figures.
- The setting aside of £0.600m Pupil Growth including Infant Class size growth funding before school allocations are made (to be agreed).
- The application of elements of the formula, which move Sefton schools closer to the National Formula funding from 2020/21 including a reduced Lump Sum payment of £0.114.5m to all schools (£0.117.5m 2019/20).
- An uplift to 13 Primary and 4 Secondary Schools funding in the formula, to ensure minimum per pupil funding levels are achieved for each school (£1.278m)
- Application of a positive +1.84% MFG with a gains cap of 4.41%.

1.15 These changes to the local formula were considered the best, to provide as much funding as possible to as many schools as possible, given the continuing budget pressures felt by all. Under the model chosen, MFG will cost £0.643m but £0.472m of this is offset by the gains cap being set at 4.41%, costing a net £0.171m in 2020/21.

2. Total DfE Funding Allocations for 2020/21 after agreed Local Authority Inter-Block Adjustments

2.1 As stated above, the final funding allocations for 2020/21 were released to local authorities on 19th December 2019, along with the APT Return containing the October 2019 census data. The total Block allocations are shown below.

2020/21 DSG Funding Allocations for Sefton are as follows:

Notional DSG Allocations 2020/21	DSG Funding 2020/21 (£m)	Comments
Schools Block (SB)	£163.904m	Includes delegated, de-delegated funding, plus the allocation for pupil growth of £0.946m & Business Rates, but after an inter-block adjustment with High Needs (£823k)
Early Years Block (EY)	£17.015m	Incl 2 YO Funding; 3-4 YO Funding; EYPPG; DAF; and MNS protection, but after an Inter-block adjustment with High Needs (£200k)
High Needs Block (HN)	£32.343m	Allocation after making all EFA recoupment deductions for direct payments to Non-Maintained Institutions by the DfE; Includes growth funding of £4.041m and is after an inter Block adjustment for contributions by Schools and Early Years towards anticipated High Needs budget pressures in 2020/21 (£1.023m)
Central Schools Services Block (CSSB)	£1.150m	Includes central services set aside by Schools Forum annually – (See further analysis below)
Total allocated DSG funding 2020/21 (Per DfE Dec 2019)	£214.412m	19th Dec 2019 – DfE Allocation Schedule

3. Schools Block Allocation

- 3.1 Sefton’s Schools Block will see a net increase of £5.748m compared to 2019/20. This additional amount includes: Minimum headroom growth of +1.84% funding per pupil through the new Formula Funding (£4.838m); Additional funding of (£0.541m) after taking account of changes in pupil numbers between October 2018 and October 2019 (i.e an extra +113.5 year on year : +35.5 Primary pupils and +78.0 Secondary pupils); together with the uplifted calculation of funding for Pupil class size Growth based on changes to actual pupil numbers year on year (£0.369m).
- 3.2 This additional funding ensures that the minimum funding levels per pupil of £3,750 per Primary pupil, and £5,000 per Secondary Pupil are made in 2020/21 (Increased from £3,500 and £4,800 respectively in 2019/20). The DfE have also set the minimum funding guarantee (MFG) at a positive +1.84% in the national distribution of funding to Local Authorities, to ensure all schools will receive extra funding in 2020/21, and Sefton too, has adopted the maximum MFG value of +1.84% in its proposed formula. The Schools Block figure is also inclusive of Pupil growth funding of (£0.946m). Use of this funding will still need to be agreed by Forum as a Centrally managed set aside contingency, which will be decided at today’s meeting (See paragraphs 3.7 to 3.10 below re Pupil Growth funding).
- 3.3 Notwithstanding, this Schools’ allocation will also be adjusted for the contribution agreed by Schools Forum on 4 November 2019, to transfer £0.823m of Schools Block funding to High Needs in 2020/21 to help with budget pressure in that Block.
- 3.4 For a more detailed analysis of the movement of Schools Block funding between 2019/20 and 2020/21 **please see Appendix 1** to the report.
- 3.5 The consultation with schools has already included reports to Schools Forum taken in September 2019 and November 2019; and there has been a meeting between Headteachers from SAPH and SASH with Officers of the Council in November/December, to enable discussion around financial modeling of the funding scenarios. The popular model of choice, a hybrid NFF model, is now presented to Forum today for final approval, and is included in the draft APT Return to the DfE attached to this report (**See Appendix 2**). See also **Appendix 3**, which shows the effect of the proposals on individual schools. **Appendix 4** includes an analysis of the changes to the Formula elements used between the 2019/20 Local funding model and the proposed 2020/21 hybrid NFF model now adopted.
- 3.6 If the Schools Forum agree the details included in the draft APT, the baseline funding for Schools in 2020/21 will be as follows, pending agreement regarding maintained school de-delegation covered later in this report.

Actual Split of Funding in the APT above, before Maintained Schools De-delegation	
Primary Maintained schools’ allocation (68 Schools)	£77.746m
Secondary Maintained schools’ allocation (6 Schools)	£26.495m

All Academy Schools Recouped by the DfE for direct funding from the ESFA (19 Academy/Free Schools)	£59.063m
Small over-allocated balance on formula	£0.001m
APT Schools funding allocations 2020/21	£163.305m

Pupil Growth / Infant class size Growth Contingency funding proposal 2020/21 for the Agreement of all Primary and Secondary Schools by Phase

- 3.7 In 2013/14, Schools Forum agreed to create a central contingency for exceptional pupil number growth. The funding is used to support schools which have additional teaching costs as a result of significant growth in pupil numbers from September to March and who will receive no additional support for these pupils until April in the next financial year. This mostly affects Primary schools with large intakes and this funding was top-sliced from the Primary Sector. The criteria for use of the pupil growth funding was increased by Schools Forum in September 2019, for exceptional pupil increases and changes to PAN agreed with schools, for increase in pupil numbers of 10 and above for Primary schools; and 20 and above for pupils in Secondary schools between the previous year and current year census data. Growth funding would be based on use of the KS NFF AWPU rates and at 7/12ths funding from September to March. This award would be subject to the overall demands placed on the fund in the year.
- 3.8 A contingency of £0.350m was agreed to set aside in 2019/20. This was part of a larger calculation and Pupil Growth allocation (£0.577m). In 2020/21, based on further growth in pupil numbers at October 2019, the total allocation has increased to £0.946m for 2020/21, and Schools, and Schools Forum will need to decide, alongside the LA, what level the Pupil Growth contingency should be set at for next year.
- 3.9 The DfE guidance suggests that LA s may propose, in conjunction with their Schools Forum, how much of this funding should be set aside for pupil growth next year. This can be based on anticipated growth in infant and older pupil numbers and trends, and after consideration of the effects of Local Plans, for example, in areas of new housebuilding. The local authority is therefore recommending that the contingency is increased to **£0.600m** (which should help on average 20 schools) and the residual funding (£0.346m) would be added into the general ISB funding allocations for schools and distributed through the Schools formula. This recommendation is considered prudent, based on the level of demand against the fund in 2019/20 in some areas, where pupil growth in Infant places exceeds the number of places, causing the need for additional classes (- 6 Primary Schools) and where there has been exceptional increase in pupil numbers during the year (13 schools – 6 Primary and 7 Secondary schools). *Note, that this adjustment has already been assumed in the APT Return for agreement today*.
- 3.10 Note also, that any of this contingency not used by the end of a financial year, must be reallocated back to schools.

Regulation 9(7) requires local authorities to put any unspent money from the growth and infant class size funds back into the individual schools' budget, so that it is recycled to schools. As this is fully expected to be utilized in 2019/20 nothing has been included in the schools' formula for 2020/21 for unspent balances.

4. Early Years (EY) Block allocation

- 4.1 Early Years funding was announced in late October 2019 and will be £17.215m in 2020/21 before any Inter-Block adjustments are made. There is a small uplift in the 2020/21 national hourly base rate funding allocation for EY, which for Sefton, will see an increase in its hourly rate to £4.38 per hour (£4.30 p/hr 2019/20) for 3-4-Year-Old childcare; and for the 2-Year-old Offer, an increase to £5.30 per hour (£5.22 p/hr 2019/20), so an increase of £0.08 per hour for both age groups.
- 4.2 Maintained Nursery Schools will continue to receive a protection subsidy in 2020/21, to ensure their financial stability, and this is contained at broadly the same levels of funding as 2017/18 – 2019/20 (£0.538m). No long-term announcements have been made in respect of the future ongoing protection funding of Nursery schools beyond 2020/21, and Local Authorities may need to prepare contingency plans for their future funding to maintain their financial viability and stability, beyond next year.
- 4.3 After some consideration, it is proposed that the hourly Base Rates paid to Early Years providers by the Local Authority for 2-year-old is increased by £0.10 per hour to £5.00 per hour in 2020/21 (£4.90 p/hr 2019/20); and the 3-4 Year old 15 universal and additional eligible 15 hours of childcare increased also by £0.10 per hour to £4.10 per hour (£4 p/hr in 2019/20) plus any deprivation supplement paid, as now, at between £0.05 - £0.15 per hour. This is on the basis that the national funding levels have increased and there is a little flexibility to use some EY contingency funding. The transfer of £0.200m from Early Years to High Needs in support of budget pressures in 2020/21 is being made again in 2020/21, but will have no effect on the hourly base rates payable to providers above, as it will come out of a contingency set aside from the transitional formula allocation, retained to ensure Sefton's funding reaches a minimum floor level nationally of £4.38 per hour for 3-4 year olds.
- 4.4 All other separately funded supplements including Early Years Pupil Premium (EYPPG) and Disability Access Funding (DAF), will remain payable at current levels into 2020/21 i.e. payable to Providers up to £302 per annum per child for Pupil Premium and £615 per annum per child for Disability Access funding. However, overall funding for DAF has reduced slightly in 2020/21, due to a fall in funding applications during 2019/20.
- 4.5 A detailed breakdown of the 2020/21 Early Years funding compared to 2019/20 is provided at **Appendix 5** to this report for information.

5. High Needs (HN) Block allocation

- 5.1 Sefton's High Needs budgets have been under severe pressure over the last five years, and have been forecast to overspend by more than £4m in 2019/20, which will inevitably bring the DSG balances into a significant deficit by the end of this year, well

above the DfE's 1% DSG threshold (c.£2m), to require the Local Authority to provide a 3-year recovery plan to the DfE by the end of June 2020.

- 5.2 Based on the Government's announced growth funding for High Needs in 2020/21 (an extra £780m nationally), Sefton's allocation has increased by £3.824m compared to 2019/20.
- 5.3 For a further breakdown of the funding changes to High Needs between 2019/20 and 2020/21 please see **Appendix 6** to this report.
- 5.4 Forum members should note, that the Heads of Education and Communities, with members of the High Needs SEN Funding workshop (Forum Sub-Group), are examining proposals to change the application of High Needs funding in 2020/21. These will be developed through the Sub-Group and in partnership with schools and other stakeholders, with a view to making gradual changes to future funding processes from September 2020.

6. Central Schools Services Block (CSSB) allocation

- 6.1 The CSS Block allocation contains funding for ongoing centrally retained DSG items for Sefton services, such as the running of the Professional Development Centre (PDC) at Formby; Grounds Maintenance of playing fields for former closed schools; Free School Meals checking; School Licences; School admissions; and former Education Services Grant (ESG) funding of statutory duties of the Local Authority, performed for all schools in the Borough.
- 6.2 The CSS Block is funded by the DfE on a per pupil basis, and is being reduced over the next few years, as it expects Local Authorities to start to remove many of its historic cost related activities and either absorb them; scrap them or retain them as traded services with schools. The funding for Sefton has therefore seen a reduction to its CSSB in 2020/21 of £-0.121m. An analysis of the 2020/21 central budgets is set out in Para 6.3 below and will need to be formally agreed at today's Schools Forum.
- 6.3 The reduction in funding mentioned above, will mostly affect the 'Combined' historic budget areas, which include the PDC budgets. A report will be brought to Schools Forum in the near future in this regard, to consider options to reduce spending or generate revenue in line with the reduction to funding for these 'combined' activities in 2020/21. The Block for Sefton, includes the following locally delivered services, which proposed allocation, Schools Forum are asked to endorse today (**£1.150m 2020/21**):
 - Contribution to combined services – **£0.166m (£0.289m in 2019/20)** – which includes budgets for residual running costs of closed schools, any repair and maintenance and utility costs across Primary, Secondary and Special sectors, a contribution towards the Professional Development Centre, and support of residual grounds maintenance.
 - Co-ordinated admissions scheme - **£0.137m**
 - School Licences 2020/21 - **£0.193m** as announced by the DfE in December 2019 (**See Para 6.4 below**)
 - Servicing of the Schools Forum - **£0.062m**

- In addition, the Council have requested that the ESG retained funding, which has transferred to DSG from Council funding from April 2017 is kept centrally within DSG to contribute towards the costs of the Council's ongoing statutory duties for all schools **(See Para 6.5 below) - £0.592m**

6.4 In respect of School Licences (above), the Department for Education has agreed to purchase a single national licence for schools, managed centrally by the DfE, for all state-funded schools in England. A detail of the amount to be deducted from DSG for these school licences has also been provided, and for 2019/20, the cost will be **£193,501** excluding VAT, a minimal increase on 2019/2019. The list of licences covered is included below for information.

- Copyright Licensing Agency (CLA)
- Schools Printed Music Licence (SPML)
- Newspaper Licensing Authority (NLA)
- Education Recording Agency (ERA)
- Motion Picture Licensing Company (MPLC), and
- Filmbank Distributors Ltd. (for the PVSL)
- Christian Copyright Licensing International (CCLI)
- Mechanical Copyright Protection Society (MCPS)
- Performing Rights Society (PRS)
- Phonographic Performance Ltd (PPL)

6.5 Since 2017/18, the DfE have included, within the baseline of the Central Support Services Block (CSSB), some funding to assist Local Authorities in recovery of their support costs in respect of specific statutory responsibilities applicable to all schools, whether Maintained or Academies. This funding was taken from the former Education Services Grant funding once given to LAs to manage their statutory functions and added into the CSSB, following the cessation of the Education Services Grant (ESG) to LAs in 2017/18 (previously c.£3m to Sefton). The identifiable statutory duties include; LA Strategic Management and Planning; School Welfare services; School place planning; Data management; and management of overall schools funding, including work on the schools' formula and the APT returns and negotiations etc. The cost of providing these services is identified at £0.592m out of the CSSB above, and not to support this allocation could have serious consequences over the ability of the LA to perform some key statutory work. Members should note that the inclusion of this funding in the CSSB has no direct impact on schools funding.

7. LA Statutory functions and other Services for de-delegation in respect of Maintained Schools

Recovery of Costs relating to LA Statutory Functions affecting Maintained Schools only

7.1 The DfE recognises that LAs have specific statutory responsibilities towards their Maintained schools, and Regulations were amended from 2017/18 to allow LAs to retain some of their Maintained schools' block funding to cover the statutory duties that they carry out on behalf of these schools, and which were previously also funded through the former ESG.

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- 7.2 From 2018/19, after a consultation with schools, it was agreed that the ongoing statutory functions still provided for Maintained schools by the Local Authority could be charged to the schools receiving them, and rates for recharge were agreed in recovery of the costs of provision (£0.520m) as part of a de-delegation calculation.
- 7.3 The services provided, are not captured by the duties included for all schools above, or through Traded services with schools. The Statutory functions are identified across a range of areas, including (but not exhaustive):
- Health and Safety support;
 - National Curriculum assessments;
 - Teacher's pension data returns and handling;
 - Asset valuations and Capital Budget and spending;
 - Senior leadership advice and support to Council Members around Maintained schools; and
 - Work across a number of Statutory statements, including maintenance of Internal financial controls and the Scheme for Financing schools.
- 7.4 Schools Forum Maintained Schools representatives are asked to agree a deduction from their funding, recovered on the basis of a charge per pupil. This includes charges to all Maintained Special schools, including Alternative Provision; Maintained Nursery Schools and the Primary and Secondary schools within the strategic control of the LA. The Local Authority have re-calculated the full cost recovery rates for charging all Maintained schools in 2020/21 to maintain a similar value of funding as from 2018/19. There is a small increase to the previous year's charges. A charge per pupil of £19.14 (£18.77 in 2019/21) for Primary and Secondary Pupils and a charge of £47.62 (£46.70 in 2019/20) per Special School Place; £47.62 (£46.70 in 2019/21) per AP Place and £28.55 (£28 in 2019/20) per FTE Nursery school place is required to cover the costs of these services. These rates will need to be agreed by the Maintained schools, by phase, at this budget meeting for 2020/21.

Services permitted for De-delegation from Maintained Schools only, which require a vote of approval from the Maintained Primary and Secondary School phases as necessary for the coming year

- 7.5 The Services that Maintained schools agreed to de-delegate in line with DfE guidance with effect from 2013/14, and on an ongoing basis were:
- Staff Costs – Union Facility Time & Jury Service (Primary and Secondary Phases)
 - The Admission of FSM eligibility (Primary and Secondary Phases)
 - Support for minority ethnic pupils or underachieving groups (Primary and Secondary Phases)
 - Library and Museum Services (Primary only)
 - Schools facing financial difficulties (Primary and Secondary phases)
- 7.6 The current budget allocation for each central service has been reviewed and recommendation of Officers to the School Forum is for the de-delegation of each service area (A to E below) based on the funding levels for each sector as follows:
- (A) Staff Costs – Union Facility Time & other Public Duties (Jury Service etc) - £0.079m**

(See also the separate report on this agenda for Trade Union Facility Time 2020/21 estimates).

The basis for allocating the 2020/21 funding per pupil is as follows:

Primary 'Maintained' School Sector – (£0.057m) - £3.05 per pupil (£2.89 in 19/20)
Secondary 'Maintained' School Sector – (£0.022m) - £4.30 per pupil (£4.13 in 19/20)

(NOTE that Academy Schools may buy into this service at the rates per pupil applicable to the Maintained schools above)

This budget supports the representation and co-ordination role for individual officials of the recognized teaching unions. It also covers Convener costs and cover release for attendance at local authority consultative groups. These groups play a critical role in both the development of policies for the local authority to commend to schools and in representing schools' views to the local authority.

As all schools are covered by the policies developed with the unions it is proposed the formula allocation for this service (which would be delegated on pupil numbers) should be de delegated and run centrally on behalf of all schools. Schools can claim back monies to support supply cover for staff that are released to cover official union duties.

(B) The Admission of Free School Meal Eligibility – £0.030m

This funding was previously allocated to the maintained primary sector only. However, the Admissions Team now conducts complete checks against annual census data and so captures maintained secondary pupil numbers also. It is therefore proposed that a rate of £6.70 per pupil be charged against numbers of pupils eligible for FSM for 2020/21 to include primary and secondary phases (no change from 2019/20).

The Admissions Team processes the applications for Free School Meals (FSM). Claimants who receive some tax credits, certain benefits or who are asylum seekers will complete an application and their entitlement is assessed using the DWP Free School Meals Eligibility Checking system before approving FSM eligibility. Entitlement is reassessed at regular intervals.

(C) Support for minority ethnic pupils or underachieving groups - £0.091m

Primary School Sector £0.069m - 60% prior attainment 40% EAL eligibility
Secondary School Sector £0.022m - 60% prior attainment 40% EAL eligibility

This budget supports schools in improving the outcomes for underachieving ethnic minority groups and pupils with English as an Additional Language (EAL)

The support varies depending on school needs and could include support for EAL and achievement, unaccompanied asylum-seeking children, working with agencies including police and social care and individual support for children, staff and parents.

Additional advantages:

- One central team, phone number and website
- All staff funded are operational
- Service has long-standing links to support agencies, Ed Welfare, Health, CAMHS, admissions, local charities / faith groups
- Ability to access external funding on behalf of local authority schools

(D) Library and museum services (Primary Schools only) - £0.004m

This is small contribution that goes to support the Sefton Libraries Service and would be allocated out on a per capita basis (23p).

All the above services **will be delegated to schools in the first instance** but if maintained schools in a phase collectively agree, **through the Schools Forum**, they can be provided centrally by **returning the funding** to the local authority. The final delegated budget would then exclude these amounts.

***Regulation 9(8)** allows local authorities to carry over to 2019-20 unspent de-delegated central expenditure to be used for the same purpose as it was used in 2018-19. In other words, it can be used for de-delegated services without having to allocate through the formula again. This responds to representations that money that maintained schools de-delegate should continue to be available for the use of maintained schools*

(E) Schools Facing Financial Difficulties Contingency - £0.175m

This is to re-designate funding originally held in centrally retained non-delegated budgets on recommendation from the DfE to help support schools in hardship facing large redundancy costs or financial difficulties. This would be recovered on the basis of a lump sum allocation: -£2,200 (£2,100 in 2019/20) per Maintained Primary School and £4,300 (£4,200 in 2019/20) per Maintained Secondary School. This funding would not be available to Academy schools.

8. Recommendations

8.1 The Schools Forum is asked to note/ and or agree the following recommendations:

8.2 ITEMS FOR NOTING BY SCHOOLS FORUM

- Note the overall DSG funding for 2020/21 and the allocation assigned to each of the 4 funding blocks including any adjustments to be made.
- Note the Local Authority recommendations in support of the Individual Schools Budgets (**ISBs**) for 2020/21 (before any de-delegation from Maintained Schools), and in line with the general direction given by SAPH and SASH during November/December 2019, on the approach to apply to the schools formula; note the provision of the APT Return in respect of the Schools Formula after reducing the Block funding for: the contribution by the Schools Block towards High Needs

budget pressures in 2020/21 (as agreed previously by Schools Forum); and for the Infant Class size/Pupil Growth contingency adjustments (subject to approval by schools, below).

- Note the provision of funding for High Needs in 2020/21, and the continuing review of High Needs by the LA, in conjunction with schools, throughout the early part of 2020, to try to make efficiencies and reduce/contain expenditure in 2020/21.
- Note the provision of funding for Early Years and the intention of the LA to increase the base hourly rates payable to all EY Providers for 2; 3 and 4-Year-old childcare by £0.10 per hour from 1 April 2020.
- Note the contribution from the Early Years funding Block towards High Needs budget pressures in 2020/21, as determined by the LA.

8.3 **ITEMS REQUIRING A VOTE OF FORUM REPRESENTATIVES AS NECESSARY**

- **To agree by a vote**, to the proposed contingency allocation of **£0.600m** in support of significant pre-16 pupil growth in 2020/21 and in compliance with KS1 infant class size legislation and as described in **Paras 3.7 to 3.10 above (ALL Primary and Secondary School Phases to vote)**
- **To agree by a vote** to support the Central Schools Services Block (CSSB) funding allocation as proposed (totalling £1.150m 20/21) with associated services. **See Paras 6.1 to 6.5 (ALL Primary and Secondary School Phases to vote)**
- **To agree by a vote** to the rates to be applied against Maintained Schools funding for 2020/21, in recovery of statutory costs incurred by the Local Authority on their behalf. This item was originally agreed as a de-delegated item in 2018/19 and 2019/20; and will need to be agreed for 2020/21 by Forum today. Costs are treated as de-delegated through the APT and raised through a charge per pupil for Primary and Secondary schools of £19.14; £47.62 per Place for Special schools; £47.62 for AP Places; and £28.55 per FTE place at Nursery schools. **See Paras 7.1 to 7.3 above (Maintained Schools only to vote by phase as required, but including Primary; Secondary; Special/AP and Maintained Nursery Phases)**
- **To agree, by a vote** of the Maintained Schools Sector only, the basis for and, de-delegation of further specific central services as shown in **para 7.6 Items A to E above**, to be pooled centrally by the local authority to provide a service to all 'maintained' schools. **(Maintained Primary and Secondary Schools Only to vote by phase, as necessary)**

8.4 **FINAL OUTCOME FOR NOTING**

- Schools Forum note the intention of the Local Authority to submit the final APT for the 2020/21 schools' formula funding to the DfE, by the deadline of 21st January 2020.

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Schools Block Allocations for 2020/21 further analysis and analysis of the Central Services Schools Block Funding

The table below shows how the 2019/20 Schools Baseline funding compares with 2020/21 to illustrate the changes in school funding between the two years. Note that the figures shown below take no account of the agreed transfer of funding to High Needs in either 2019/20 or 2020/21 towards funding pressures.

<u>Schools funding analysis 2019/20 compared to 2020/21</u>	<u>Pupil Nos & / Values (£) 2019/20</u>		<u>Oct 2019 Census Changes</u>	<u>Other Changes</u>	<u>Pupil Nos & / Values (£) 2020/21</u>
Primary Pupils	21,020.0		+35.5		21,055.5
Secondary Pupils	14,266.5		+78.0.		14,344.5
Totals – Pupil Nos	35,286.5		+113.5		35,400.0
Primary Unit of Funding per pupil 2019/20 & 2020/21	£4,002.10			+£118.54	£4,120.64
Secondary Unit of Funding per pupil 2019/20 & 2020/21	£5,116.25			+£162.16	£5,278.41
Primary & Secondary direct funding allocations – pupil led	£157.115m			+5.363m	£162.478m
Business Rates & Pupil Growth funding (£0.578m 19/20) (£0.946m 20/21) – School led	£1.881m			+0.368m	£2.249m
Total Schools Block Allocation	£158.996m			+£5.731m	£164.727m
<u>Major Variations</u>					
Net change in Pupil Numbers Oct 2018 to Oct 2019			+113.5		+£0.558m
Growth per pupil headroom to 19/20 Baseline Pupil Nos	Pupil Nos (P)	21,020	x	+£118.54	+£4.805m
	Pupil Nos (S)	14,266.5	x	+£162.16	
New Pupil Growth calculation and Business Rates 20/21				+£0.368m	+£0.368m
Net Variation/Increase					+£5.731m
<u>Central Schools Services Block / Net decrease</u>	<u>2019/20</u> £1.271m	<u>2020/21</u> £1.150m			£-0.121m

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Primary minimum per pupil funding level	Secondary (KS3 only) minimum per pupil	Secondary (KS4 only) minimum per pupil funding	Secondary minimum per pupil funding level
£3,750	£4,800.00	£5,300.00	£5,000.00

Disapplication number where alternative

	Reception uplift	No	Pupil Units			0.00				
	Description	Amount per pupil	Pupil Units		Sub Total	Total	Proportion of total pre MFG funding (%)	Notional SEN (%)		
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£2,984.52	21,056.00		£62,842,053	£123,449,643	38.52%	10.00%		
	Key Stage 3 (Years 7-9)	£4,212.00	8,837.00		£37,221,444		22.82%	10.00%		
	Key Stage 4 (Years 10-11)	£4,242.00	5,513.00		£23,386,146		14.34%	10.00%		
	Description	Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
2) Deprivation	FSM	£400.00	£778.50	3,920.00	2,543.00	£3,547,726	£14,731,325	9.03%	40.00%	40.00%
	FSM6	£450.00	£800.00	5,046.38	3,909.46	£5,398,437			40.00%	40.00%
	IDACI Band F	£150.00	£300.00	2,233.38	1,587.19	£811,163			40.00%	40.00%
	IDACI Band E	£200.00	£405.00	913.09	640.08	£441,852			40.00%	40.00%
	IDACI Band D	£300.00	£535.00	1,484.28	857.16	£903,862			40.00%	40.00%
	IDACI Band C	£350.00	£580.00	815.08	504.09	£577,647			40.00%	40.00%
	IDACI Band B	£410.00	£625.00	2,298.33	1,426.31	£1,833,761			40.00%	40.00%
	IDACI Band A	£520.00	£840.00	1,096.14	770.10	£1,216,878			40.00%	40.00%
	Description	Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
3) Looked After Children (LAC)	LAC X March 19	£0.00		301.45		£0	£972,122	0.00%		
4) English as an Additional	EAL 3 Primary	£1,034.50		755.37		£781,427		0.60%		
	EAL 3 Secondary		£1,034.50		184.34	£190,695				
5) Mobility	Pupils starting school outside of normal entry dates	£0.00	£0.00	132.36	34.69	£0	0.00%			
	Description	Weighting	Amount per pupil (primary or secondary respectively)	Percentage of eligible pupils	Eligible proportion of primary and secondary NOR respectively	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
6) Prior attainment	Primary Low Attainment		£920.00	32.08%	6,754.55	£6,214,185	£10,799,458	6.62%	100.00%	
	Secondary low attainment (year 7)	64.53%	£1,600.00	22.97%	2,865.80	£4,585,272				
	Secondary low attainment (year 8)	63.59%		20.21%						
	Secondary low attainment (year 9)	58.05%		19.99%						
	Secondary low attainment (year 10)	48.02%		21.07%						
	Secondary low attainment (year 11)			15.31%						
									100.00%	

Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)	
7) Lump Sum	£114,528.00	£114,528.00			£10,651,104	6.53%	0.00%	0.00%
8) Sparsity factor	£0.00	£0.00			£0	0.00%		

Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a

Primary distance threshold (miles)		Primary pupil number average year group threshold		Fixed, tapered or NFF sparsity primary lump sum?	Fixed	
Secondary distance threshold (miles)		Secondary pupil number average year group threshold		Fixed, tapered or NFF sparsity secondary lump sum?	Fixed	
Middle schools distance threshold (miles)		Middle school pupil number average year group threshold		Fixed, tapered or NFF sparsity middle school lump sum?	Fixed	
All-through schools distance threshold (miles)		All-through pupil number average year group threshold		Fixed, tapered or NFF sparsity all-through lump sum?	Fixed	

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9) Fringe Payments		£0	0.00%	
10) Split Sites		£0	0.00%	
11) Rates		£1,252,666	0.77%	0.00%
12) PFI funding		£0	0.00%	
13) Exceptional circumstances (can only be used with prior agreement of ESFA)				
Circumstance		Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)
Additional lump sum for schools amalgamated during FY19-20		£0	0.00%	0.00% 0.00%
Additional sparsity lump sum for small schools		£0	0.00%	
Exceptional Circumstance3		£0	0.00%	
Exceptional Circumstance4		£0	0.00%	
Exceptional Circumstance5		£0	0.00%	
Exceptional Circumstance6		£0	0.00%	
Exceptional Circumstance7		£0	0.00%	
Total Funding for Schools Block Formula (excluding minimum per pupil funding level and MFG Funding Total)		£161,856,317	99.22%	
14) Additional funding to meet minimum per pupil funding level		£1,277,602	0.78%	0.00%
Total Funding for Schools Block Formula (excluding MFG Funding Total)		£163,133,919	100.00%	
15) Minimum Funding Guarantee	1.84%	£643,072		
Where a value less than 0.5% or greater than 1.84% has been entered please provide the disapplication reference				
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)				
Yes				
Capping Factor (%)	4.41%	Scaling Factor (%)	100.00%	
Total deduction if capping and scaling factors are applied		-£472,209		
		Total (£)	Proportion of Total funding(%)	Notional SEN (%)
MFG Net Total Funding (MFG + deduction)		£170,863	0.10%	0.00%
Total Funding for Schools Block Formula		£163,304,782		£29,036,952
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)				
Additional funding from the high needs budget				
Growth fund (if applicable)		£600,000.00		
Falling rolls fund (if applicable)				
Other Adjustment to 19-20 Budget Shares		£0		
Total Funding For Schools Block Formula (including growth and falling rolls funding)		£163,904,782		
% Distributed through Basic Entitlement		75.67%		
% Pupil Led Funding		91.92%		
Primary: Secondary Ratio		1 :	1.30	

2020/21 SCHOOLS FUNDING MODELS FOR CONSULTATION WITH SAPH AND SASH NOV/DEC 2019

Following presentation and discussion at Schools Forum on indicative Schools Funding we have produced 3 financial models for information/consultation

Model 1 assumes a full change in allocation to the National Formula Funding model

Model 2 assumes an 'as is' Local formula model adopting a similar approach to the 2019/20 allocations and allocating the indicative funding announced in October 2019.

Model 3 assumes a hybrid version moving allocations towards the National Funding Formula model but not completely in 2020/21

A summary of the allocations by school across each model is provided in this workbook and at the foot of each model is a comparison of the total Formula values for each key element.

NOTE: The Pupil numbers that have been applied in the scenarios presented are based on the October 2018 Census headcount. Actual data for 2020/21 based on the October 2019 census will only be released by the DfE in mid/late December 2019. Therefore the models attached are only indicative of the levels of funding to each school.

The LA has also provided an analysis of the changes in the funding element values between each model, to help you see how each compares i.e AWPU/Deprivation/LPA etc. and how we have changed the element values from the current position in 2019/20. The values shown in the NFF model are those adopted by the DfE and have not been altered by the Local Authority, with the exception of having to introduce a funding cap, to allow the model to be affordable. The NFF model no longer has a cap.

As demonstrated, the Hybrid model and NFF model move funding away from basic needs towards schools with higher deprivation levels, and this means that, although every school will still receive some additional growth funding in 2020/21, this may be variable, dependent upon levels of deprivation in each school. This is a shift away from more traditional funding values in the Local Formula approach. However, with the Government promise of a Hard Formula from 2021/22, and the uncertainties of any transitional protection that may be offered to those Authorities who have not adopted the NFF, Sefton may be advised to start moving further towards the NFF funding model this year in preparation for change.

Headteachers will need to make a collective judgement as to the approach the Local Authority should take when building up the funding formula model for 2020/21.

ASSUMPTIONS MADE IN THE FUNDING MODELS

Pupil Growth assumed at the same level as 2019/20 i.e £0.577m allocation, with £0.227m included in the Formula and £0.350m set aside as the Pupil Growth contingency (Fig to be announced)

Minimum Per Pupil Funding applied in accordance with the DfE's instructions i.e £3,750 per Primary Pupil and £5,000 per Secondary Pupil

A full 0.5% funding allocation from the Schools Block to High Needs in 2020/21 - agreed at Schools Forum on 4 November 2019.

MFG set at the NFF floor value +1.84% ensuring no school loses funding against its base 2019/20 baseline budget.

A cap is applied to each funding model to ensure the affordability of each one.

Business Rates uplift calculated at an estimated +1.8% (this may be less +1.63% to be agreed) and so figures are indicative.

Lump Sum Local model assumed the same as 2019/20 i.e £117.5m and for the NFF / Hybrid models £114.5m as used in the National funding allocations

These models will be discussed further at SAPH and SASH and a steer to the LA will be required by mid-December.

INDICATIVE SCHOOLS FORMULA FUNDING MODELS 2020/21 - TABLES SHOWING THE DIFFERENT ELEMENT VALUES USED

		2019/20 CURRENT FORMULA ELEMENTS		2020/21 Formula Models					
				MODEL 1 NATIONAL FORMULA FUNDING MODEL		MODEL 2 LOCAL FORMULA MODEL		MODEL 3 NATIONAL FORMULA FUNDING HYBRID MODEL	
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Description	Amount per pupil		Amount per pupil		Amount per pupil		Amount per pupil	
	Primary (Years R-6)	£3,060.20		£2,857.00		£3,144.52		£2,984.52	
	Key Stage 3 (Years 7-9)	£4,317.75		£4,018.00		£4,436.84		£4,212.00	
	Key Stage 4 (Years 10-11)	£4,346.75		£4,561.00		£4,466.65		£4,242.00	
	Description	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	FSM	£325.00	£1,525.00	£450.00	£450.00	£400.00	£1,562.00	£400.00	£778.50
	FSM6	£25.00	£125.00	£560.00	£815.00	£26.50	£126.50	£450.00	£800.00
	IDACI Band F	£50.00	£100.00	£210.00	£300.00	£51.50	£101.50	£150.00	£300.00
	IDACI Band E	£118.00	£160.00	£250.00	£405.00	£121.00	£163.00	£200.00	£405.00
	IDACI Band D	£250.00	£350.00	£375.00	£535.00	£256.00	£356.00	£300.00	£535.00
	IDACI Band C	£300.00	£460.00	£405.00	£580.00	£308.00	£468.00	£350.00	£580.00
	IDACI Band B	£400.00	£500.00	£435.00	£625.00	£409.50	£509.50	£410.00	£625.00
	IDACI Band A	£500.00	£795.00	£600.00	£840.00	£514.00	£809.00	£520.00	£840.00
	Description	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil	Primary amount per pupil	Secondary amount per pupil
3) Looked After Children (LAC)	LAC X March 19	£0.00		£0.00		£0.00		£0.00	
4) English as an Additional Language (EAL)	EAL 3 Primary	£1,000.00		£535.00		£1,034.50		£1,034.50	
	EAL 3 Secondary		£1,000.00		£1,440.00		£1,034.50		£1,034.50
5) Mobility	Pupils starting school outside of normal entry dates	£0.00	£0.00			£0.00	£0.00	£0.00	£0.00
	Description	Weighting	Amount per pupil (primary or secondary respectively)	Weighting	Amount per pupil (primary or secondary respectively)	Weighting	Amount per pupil (primary or secondary respectively)	Weighting	Amount per pupil (primary or secondary respectively)
6) Prior attainment	Primary Low Attainment		£809.00		£1,065.00		£833.50		£920.00
	Secondary low attainment (year 7)	63.59%	£1,040.00	63.59%	£1,610.00	63.59%	£1,086.00	63.59%	£1,600.00
	Secondary low attainment (year 8)	58.05%		58.05%		58.05%		58.05%	
	Secondary low attainment (year 9)	48.02%		48.02%		48.02%		48.02%	
	Secondary low attainment (years 10 to 11)								
Other Factors									
Factor		Lump Sum per Primary School (£)		Lump Sum per Primary School (£)		Lump Sum per Primary School (£)		Lump Sum per Primary School (£)	
7) Lump Sum		£117,500.00		£114,528.00		£117,500.00		£114,528.00	
Rates		£1,303,097		£1,326,553		£1,326,553		£1,326,553	
FUNDING VALUES									
Minimum Funding Guarantee		-1.50%		1.84%		1.84%		1.84%	
Capping Factor		1.10%		7.55%		3.59%		4.13%	
PRIMARY FUNDING		£84,555,210		£87,155,350		£87,007,934		£86,831,595	
SECONDARY FUNDING		£73,274,865		£75,476,415		£75,621,683		£75,804,767	
FUNDING ALLOCATIONS		£157,830,075		£162,631,765		£162,629,617		£162,636,362	

INDICATIVE SCHOOLS FUNDING MODELS USING OCT 2019 CENSUS DATA

Agenda item 4

APPENDIX 3

	Current Funding 2019/20	HYBRID MODEL	NFF Hybrid Formula	MODEL 3					
	per the formula	HYBRID Formula Funding 2020/21	Increase / (-) Decrease on 2019/20	HYBRID NFF %age	2020/21 Funding post	PUPIL Nos Oct 2018	Pupil Nos Oct 2019	Changes to Pupil Nos	
	(Before de-delegation for Maintained schools)	(Before de-delegation for Maintained schools)	(Before de-delegation for Maintained schools)	Increase / (-) Decrease on 2019/20	De-Delegation 2020/21 Maintained Schools Only	De-Delegation for Maintained Schools			
Primary									
Ainsdale St John's Church of England Primary School	840,391.28	853,742.83	13,351.55	1.59%	-7,543.08	846,199.76	210	210	0
Aintree Davenhill Primary School	1,555,463.07	1,599,383.68	43,920.62	2.82%	-12,377.97	1,587,005.71	412	417	5
All Saints Catholic Primary School	1,437,085.23	1,499,481.11	62,395.88	4.34%	-14,577.36	1,484,903.75	319	320	1
Bedford Primary School	1,725,274.09	1,757,102.10	31,828.01	1.84%	-14,567.18	1,742,534.92	393	388	-5
Birkdale Primary School	1,527,102.46	1,596,049.83	68,947.37	4.51%	-13,320.60	1,582,729.23	401	412	11
Bishop David Sheppard Church of England Primary School	976,392.18	953,903.75	-22,488.43	-2.30%	-8,571.90	945,331.85	205	196	-9
Christ Church Church of England Controlled Primary School	1,539,017.88	1,704,921.89	165,904.00	10.78%	-13,492.04	1,691,429.85	353	378	25
Churchtown Primary School	2,858,198.02	2,936,576.88	78,378.86	2.74%	0.00	2,936,576.88	781	780	-1
English Martyrs' Catholic Primary School	1,589,707.49	1,609,730.65	20,023.16	1.26%	-12,707.47	1,597,023.18	421	419	-2
Farnborough Road Infant School	1,339,279.45	1,334,159.75	-5,119.70	-0.38%	-11,184.82	1,322,974.93	361	351	-10
Farnborough Road Junior School	1,762,636.05	1,819,201.45	56,565.40	3.21%	-14,249.97	1,804,951.48	471	477	6
Forefield Community Infant and Nursery School	1,039,792.18	1,056,778.59	16,986.41	1.63%	-8,913.85	1,047,864.74	270	270	0
Forefield Junior School	1,361,763.80	1,377,772.00	16,008.20	1.18%	-10,999.53	1,366,772.47	361	359	-2
Freshfield Primary School	854,344.99	864,583.14	10,238.15	1.20%	-7,471.53	857,111.61	221	220	-1
Great Crosby Catholic Primary School	2,425,042.17	2,570,954.94	145,912.77	6.02%	-18,946.77	2,552,008.17	687	683	-4
Green Park Primary School	837,127.34	857,157.39	20,030.05	2.39%	-7,376.38	849,781.01	213	215	2
Hatton Hill Primary School	1,483,390.02	1,527,620.12	44,230.10	2.98%	-12,355.67	1,515,264.45	362	358	-4
Holy Family Catholic Primary School	821,005.29	874,279.06	53,273.78	6.49%	-8,890.43	865,388.63	201	208	7
Holy Rosary Catholic Primary School	1,517,049.51	1,575,330.49	58,280.98	3.84%	-12,468.03	1,562,862.46	416	419	3
Holy Spirit Catholic Primary School	886,071.30	896,057.58	9,986.28	1.13%	-7,645.69	888,411.88	201	195	-6
Holy Trinity Church of England Primary School, South	928,636.97	959,956.34	31,319.37	3.37%	0.00	959,956.34	205	208	3
Hudson Primary School	749,332.18	826,334.16	77,001.98	10.28%	-7,477.46	818,856.70	175	193	18
Kew Woods Primary School	1,518,764.19	1,564,164.77	45,400.59	2.99%	0.00	1,564,164.77	398	405	7
Kings Meadow Primary School and Early Years Educa	771,899.88	856,284.58	84,384.70	10.93%	-7,757.33	848,527.25	182	197	15
Lander Road Primary School	907,201.01	965,616.16	58,415.15	6.44%	-8,034.00	957,582.16	206	212	6
Larkfield Primary School	1,132,738.43	1,116,401.87	-16,336.56	-1.44%	-9,949.89	1,106,451.98	291	281	-10
Linacre Primary School	762,603.94	756,980.46	-5,623.49	-0.74%	-7,681.80	749,298.66	157	149	-8
Linaker Primary School	1,819,249.55	1,787,438.56	-31,810.98	-1.75%	-17,846.82	1,769,591.74	449	423	-26
Litherland Moss Primary School	920,041.60	982,356.44	62,314.84	6.77%	0.00	982,356.44	180	192	12
Litherland Primary School	1,039,371.57	988,904.94	-50,466.63	-4.86%	-8,550.49	980,354.45	275	255	-20
Litherland Primary School	732,955.75	771,304.98	38,349.23	5.23%	-7,348.28	763,956.70	173	178	5
Litherland Primary School	819,947.55	836,416.83	16,469.28	2.01%	-7,296.04	829,120.79	200	201	1
Litherland Primary School	949,749.49	969,412.08	19,662.59	2.07%	-8,210.80	961,201.28	204	200	-4
Litherland Primary School	1,187,031.82	1,217,152.68	30,120.87	2.54%	-9,982.12	1,207,170.57	310	313	3
Norwood Primary School	1,881,064.60	2,037,624.89	156,560.29	8.32%	-17,066.54	2,020,558.35	498	533	35
Our Lady of Compassion Catholic Primary School	828,229.35	844,751.41	16,522.07	1.99%	-7,384.74	837,366.67	213	214	1
Our Lady of Lourdes Catholic Primary School	1,885,669.42	1,864,732.89	-20,936.53	-1.11%	-15,936.34	1,848,796.55	507	491	-16
Our Lady of Walsingham Catholic Primary School	894,693.43	904,471.34	9,777.91	1.09%	-7,894.74	896,576.59	206	201	-5
Our Lady Queen of Peace Catholic Primary School	589,762.74	650,862.75	61,100.01	10.36%	-6,100.43	644,762.32	123	133	10
Our Lady Star of the Sea Catholic Primary School	897,761.00	925,870.58	28,109.58	3.13%	-7,862.09	918,008.49	174	177	3
Redgate Community Primary School	690,961.52	772,321.98	81,360.46	11.77%	-6,913.21	765,408.78	163	183	20
Rimrose Hope CofE Primary School	1,211,473.34	1,219,321.01	7,847.67	0.65%	-9,995.95	1,209,325.05	270	267	-3
Shoreside Primary School	806,629.10	737,838.81	-68,790.30	-8.53%	0.00	737,838.81	198	175	-23
Springwell Park Community Primary School	1,761,282.67	1,811,394.87	50,112.21	2.85%	-14,047.81	1,797,347.06	409	408	-1
St Andrews Church of England Primary School, Magh	1,166,150.59	1,094,318.58	-71,832.01	-6.16%	0.00	1,094,318.58	316	289	-27
St Benedict's Catholic Primary School	874,139.13	896,124.46	21,985.33	2.52%	-7,649.52	888,474.94	209	211	2
St Edmund's and St Thomas' Catholic Primary School	1,196,596.13	1,205,053.83	8,457.70	0.71%	-10,216.83	1,194,837.01	314	309	-5
St Elizabeth's Catholic Primary School	1,598,322.59	1,696,804.77	98,482.18	6.16%	-13,714.25	1,683,090.52	372	380	8
St George's Catholic Primary School	764,823.33	790,731.72	25,908.39	3.39%	-7,032.60	783,699.12	189	193	4
St Gregory's Catholic Primary School	822,209.99	838,663.41	16,453.42	2.00%	-7,248.35	831,415.06	209	210	1
St Jerome's Catholic Primary School	800,892.89	833,914.90	33,022.01	4.12%	-7,260.58	826,654.32	203	209	6
St John Bosco Catholic Primary School	817,526.55	799,590.84	-17,935.71	-2.19%	-7,018.11	792,572.73	208	199	-9
St John's Church of England Primary School	743,953.30	791,724.50	47,771.20	6.42%	-7,041.84	784,682.66	174	184	10
St John's Church of England Primary School	794,183.94	857,380.17	63,196.23	7.96%	-7,721.49	849,658.68	193	205	12
St Luke's Church of England Primary School	1,471,103.47	1,518,858.09	47,754.62	3.25%	-12,112.87	1,506,745.22	397	399	2
St Luke's Halsall Church of England Primary School	790,521.39	813,087.93	22,566.55	2.85%	-7,343.67	805,744.27	203	206	3
St Mary's Catholic Primary School	478,040.25	484,727.64	6,687.39	1.40%	-4,807.36	479,920.28	108	108	0
St Monica's Catholic Primary School	1,694,539.21	1,717,080.71	22,541.50	1.33%	-13,222.34	1,703,858.36	421	419	-2
St Nicholas Church of England Primary School	790,650.10	809,713.73	19,063.63	2.41%	-7,528.49	802,185.24	207	209	2
St Oswald's Church of England Primary School	906,144.60	933,016.26	26,871.67	2.97%	-8,236.21	924,780.05	207	205	-2
St Patrick's Catholic Primary School	1,357,229.25	1,383,556.37	26,327.12	1.94%	-12,361.61	1,371,194.76	364	365	1
St Philip's Church of England Controlled Primary School	857,314.24	889,731.73	32,417.49	3.78%	-8,033.54	881,698.19	206	206	0
St Philip's Church of England Primary School	831,034.45	837,315.41	6,280.96	0.76%	-8,019.37	829,296.04	211	209	-2
St Robert Bellarmine Catholic Primary School	853,743.08	856,578.40	2,835.31	0.33%	-7,493.56	849,084.83	209	206	-3
St Teresa's Catholic Infant and Nursery School	319,475.00	292,607.59	-26,867.41	-8.41%	-4,103.98	288,503.61	57	48	-9
St Thomas Church of England Primary School, Lydiat	803,362.86	806,094.26	2,731.39	0.34%	0.00	806,094.26	211	208	-3
St William of York Catholic Primary School	877,357.20	926,533.17	49,175.97	5.61%	-8,190.05	918,343.12	203	207	4
Summerhill Primary School	818,478.38	838,303.80	19,825.43	2.42%	-7,216.41	831,087.40	204	206	2
The Grange Primary School	1,169,857.44	1,220,073.27	50,215.83	4.29%	-9,874.38	1,210,198.89	265	266	1
Thomas Gray Primary School	919,117.72	962,821.02	43,703.30	4.75%	-8,576.31	954,244.71	192	194	2
Trinity St Peter's CofE Primary School	814,049.72	826,915.51	12,865.79	1.58%	-7,311.01	819,604.50	212	212	0
Ursuline Catholic Primary School	1,535,525.41	1,549,631.90	14,106.49	0.92%	-12,363.28	1,537,268.62	416	412	-4
Valewood Primary School	850,349.68	856,984.02	6,634.35	0.78%	-7,395.46	849,588.57	214	212	-2
Waterloo Primary School	1,604,122.26	1,677,669.68	73,547.41	4.58%	-14,181.00	1,663,488.67	388	394	6

Current Funding 2019/20
per the formula
1,171,210.78

HYBRID MODEL
HYBRID Formula
Funding 2020/21
1,188,610.32

NFF Hybrid Formula
Increase / (-)
Decrease on 2019/20
17,399.54

MODEL 3
HYBRID NFF
%age
1.49%

	<u>2020/21</u>	<u>PUPIL Nos</u>	<u>Pupil Nos</u>	<u>Changes to</u>
	<u>Funding post</u>	<u>Oct 2018</u>	<u>Oct 2019</u>	<u>Pupil Nos</u>
-9,987.33	1,178,622.99	313	312	-1

	Current Funding 2019/20	HYBRID MODEL	NFF Hybrid Formula	MODEL 3					
	per the formula	HYBRID Formula Funding 2020/21	Increase / (-) Decrease on 2019/20	HYBRID NFF %age	2020/21 Funding post	PUPIL Nos Oct 2018	Pupil Nos Oct 2019	Changes to Pupil Nos	
						21,020.0	21,056.0	36.0	
Secondary									
Birkdale High School	3,573,433.78	3,949,111.54	375,677.77	10.51%	0.00	3,949,111.54	726	784	58
Chesterfield High School	5,438,709.96	5,842,622.69	403,912.73	7.43%	0.00	5,842,622.69	1046	1082	36
Christ The King Catholic High School and Sixth Form	4,438,643.26	4,128,965.38	-309,677.88	-6.98%	-29,345.21	4,099,620.17	890	791	-99
Deyes High School	5,534,400.00	5,783,215.59	248,815.59	4.50%	0.00	5,783,215.59	1153	1151	-2
Formby High School	4,214,400.00	4,565,623.03	351,223.03	8.33%	0.00	4,565,623.03	878	909	31
Greenbank High School	4,824,550.25	5,069,904.81	245,354.56	5.09%	0.00	5,069,904.81	996	1010	14
Hillside High School	3,957,889.61	3,838,090.24	-119,799.38	-3.03%	0.00	3,838,090.24	664	616	-48
Holy Family Catholic High School	3,983,228.17	4,239,961.56	256,733.39	6.45%	-24,879.08	4,215,082.48	769	793	24
King's Leadership Academy Hawthornes	1,775,349.20	2,145,369.00	370,019.79	20.84%	0.00	2,145,369.00	276	324	48
Litherland High School	3,226,003.22	3,396,871.69	170,868.47	5.30%	0.00	3,396,871.69	570	576	6
Maghull High School	3,767,663.41	4,123,786.56	356,123.15	9.45%	0.00	4,123,786.56	721	767	46
Maricourt Catholic High School	5,473,964.51	5,467,673.11	-6,291.40	-0.11%	-32,500.69	5,435,172.42	1106	1080	-26
Meols Cop High School	4,313,406.94	4,621,018.59	307,611.65	7.13%	-29,908.33	4,591,110.26	836	860	24
Range High School	4,670,400.00	4,716,676.55	46,276.55	0.99%	0.00	4,716,676.55	973	938	-35
Sacred Heart Catholic College	5,166,691.63	5,313,468.63	146,777.01	2.84%	-33,280.89	5,280,187.74	1046	1031	-15
Savio Salesian College	2,637,205.23	2,724,336.08	87,130.85	3.30%	-20,744.57	2,703,591.51	442	438	-4
St Michael's Church of England High School	3,246,672.34	3,391,829.34	145,157.00	4.47%	0.00	3,391,829.34	583	596	13
Stanley High School	3,032,253.29	3,159,336.74	127,083.45	4.19%	0.00	3,159,336.74	597	604	7
	157,830,074.65	163,304,781.75	5,474,707.10	3.47%	-834,917.71	162,469,864.03	35,292.0	35,406.0	114.0
						14272.0	14350.0	78.0	
MFG	-1.50%	1.84%							
CAP	1.10%	4.41%							
NET COST	£99,633	£170,863							
AWPU	£126,110,674	£123,449,643							
DEPRIVATION	£9,631,506	£14,731,325							
EAL	£876,333	£972,122							
PRIOR ATTAINMENT	£8,750,247	£10,799,458							
LUMP SUM	£10,927,500	£10,651,104	(£114.5K)						
RATES	£1,303,097	£1,252,666							
AMOUNT TO UPLIFT TO MPPF	£131,084	£1,277,602	13 Prim/4 Sec Schools						
	£157,830,074	£163,304,783							
PRIMARY/SECONDARY RATIO	01: 1:28	01: 1:30							
FUNDING AVAILABILITY BASED ON OCT CENSUS Nos AFTER ASSUMING 0.5% TAKEN OUT OF SB TWDS HIGH NEEDS AND AN ALLOC SET ASIDE FOR GROWTH		£163,303,778							
UNALLOCATED/SLIGHT OVERALLOCATION (-)		-£1,005							

INDICATIVE SCHOOLS FORMULA FUNDING MODELS 2020/21 - TABLES SHOWING THE DIFFERENT ELEMENT VALUES USED

		2019/20 CURRENT FORMULA ELEMENTS	
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Description	Amount per pupil	
	Primary (Years R-6)	£3,060.20	
	Key Stage 3 (Years 7-9)	£4,317.75	
	Key Stage 4 (Years 10-11)	£4,346.75	
	Description	Primary amount per pupil	Secondary amount per pupil
2) Deprivation	FSM	£325.00	£1,525.00
	FSM6	£25.00	£125.00
	IDACI Band F	£50.00	£100.00
	IDACI Band E	£118.00	£160.00
	IDACI Band D	£250.00	£350.00
	IDACI Band C	£300.00	£460.00
	IDACI Band B	£400.00	£500.00
	IDACI Band A	£500.00	£795.00
	Description	Primary amount per pupil	Secondary amount per pupil
3) Looked After Children (LAC)	LAC X March 19	£0.00	
4) English as an Additional	EAL 3 Primary	£1,000.00	
	EAL 3 Secondary		£1,000.00
5) Mobility	Pupils starting school outside of normal entry dates	£0.00	£0.00
	Description	Weighting	Amount per pupil (primary or secondary respectively)
6) Prior attainment	Primary Low Attainment		£809.00
	Secondary low attainment (year 7)	63.59%	£1,040.00
	Secondary low attainment (year 8)	58.05%	
	Secondary low attainment (year 9)	48.02%	
	Secondary low attainment (years 10 to 11)		

2020/21 Formula Model	
MODEL 3 NATIONAL FORMULA FUNDING HYBRID MODEL	
Amount per pupil	
£2,984.52	
£4,212.00	
£4,242.00	
Primary amount per pupil	Secondary amount per pupil
£400.00	£778.50
£450.00	£800.00
£150.00	£300.00
£200.00	£405.00
£300.00	£535.00
£350.00	£580.00
£410.00	£625.00
£520.00	£840.00
Primary amount per pupil	Secondary amount per pupil
£0.00	
£1,034.50	
	£1,034.50
£0.00	£0.00
Weighting	Amount per pupil (primary or secondary respectively)
	£920.00
63.59%	£1,600.00
58.05%	
48.02%	

Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Primary School (£)
7) Lump Sum	£117,500.00	£114,528.00
Rates	£1,303,097	£1,252,666

FUNDING VALUES

Minimum Funding Guarantee	-1.50%	1.84%
Capping Factor	1.10%	4.41%
PRIMARY FUNDING	£84,555,210	£86,826,921
SECONDARY FUNDING	£73,274,865	£76,477,861
FUNDING ALLOCATIONS	£157,830,075	£163,304,782

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Table showing a breakdown of Early Years funding for 2020/21 compared to 2019/21

<u>EARLY YEARS FUNDING ANALYSIS</u>	<u>2019/20</u>	<u>2020/21</u>	<u>Variation</u>
	<u>Revised July 2019</u>	<u>Oct 2019</u>	<u>£m</u>
	<u>£m</u>	<u>£m</u>	<u>£m</u>
Early Years 2-Year-old entitlement	£2.335m	£2.371m	+£0.036m
Early Years 3-4-Year-Old Universal 15 hours and additional 15 Hours eligible Free childcare entitlement	£13.570m	£13.827m	+£0.257m
Early Years formula transitional funding to ensure reaching the national funding floor – Treated as Contingency funding for Sefton Early Years in 17/18 – ongoing.	£0.257m	£0.257m	0
Early Years 3-4-Year-Old Pupil Premium	£0.164m	£0.164m	0
Early Years 3-4-Year-Old Disability Access Funding	£0.067m	£0.058m	-£0.009
Early Years Transitional funding towards Maintained Nursery Schools (Year 3 of 3 for agreed funding)	£0.538m	£0.538m	0
Total Early Years funding before any Inter-Block adjustments	£16.931m	£17.215m	£0.284m
<u>EARLY YEARS SUPPORT FOR HIGH NEEDS</u>			
Add agreed Early Years Block contribution to High Needs for 2020/21 to come out of EY transitional contingency above	£-0.200m	£-0.200m	0
Total adjusted Early Years Funding	£16.731m	£17.015m	£0.284m

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Table showing the year on year effect of changes to High Needs funding from 2019/20 to the 2020/21. Note, that there may still be some adjustment to be made in respect of import/export pupil costs linked to High Needs once the results of the January 2020 Census and ILR data are available in February 2020:

HIGH NEEDS FUNDING ANALYSIS	2019/20	2020/21	Variation
	£m	£m	£m
High Needs Formula			
Historic based funding 50% and Proxy Factor Funding 50% before deductions for recoupment by the DfE	£25.082m	£29.294m	+£4.212m
ADD Area Cost Adjustment Basic Entitlement calculation - incl changes to population etc 2019/20 / 2020/21	£2.619m	£2.785m	+£0.166m
*Growth Funding announced December 2018 over 2 years - 2018/19 and 2019/20	£0.562m	£0.000m	-£0.562m
Adjusted Baseline comparison	£28.263m	£32.079m	+£3.816m
Import/Export of pupils between LA areas directly funded by the DfE - net adjustment to Sefton (Yet to be finalised in Feb 20)	£-0.042m	£-0.042m	0
Less DfE deductions for Pre and Post 16 student places and others in Special Academies; Special AP; Colleges of FE etc directly funded by the ESFA; and including Sefton's Special School places in 2019/20 paid back to the LA (see below – Ends Aug 2019)	£-0.943m	£-0.718m	+£0.225m
2019/20 adjusted Baseline figure and Indicative High Needs funding for 2020/21 before any contributions from Schools and Early Years	£27.278m	£31.319m	+£4.041m
<u>ADDITIONAL SUPPORT FOR HIGH NEEDS IN 2019/20 & 2020/21</u>			
Add - agreed Schools Block contribution for 2019/20 (agreed at Jan 19) & 2020/21 (SF 4 Nov 19)	+£0.795m	+£0.824m	+£0.029m
Add - agreed Early Years Block contribution for 2019/20 & 2020/21	+£0.200m	+£0.200m	0
Total adjusted High Needs Funding excluding any Post 16 SEND Grant back to the LA for local Maint Special schools out of the deductions made above	£28.273m	£32.343m	+£4.070m
Add Post 16 Grant from the ESFA for Sefton Pupils in Maint Special Schools (Changed from Sept 2019)	£0.217m	£0m	£-0.217m
Total Adjusted High Needs Funding	£28.490m	£32.343m	+£3.853m

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Sefton Schools Forum Report

Date of Meeting:	13 th January 2020
Title of Report:	Pupil Growth funding Allocations 2019/20
Presenting Officer:	Mark Chambers / Kevin McBlain
Reason for Submission to Forum:	(4) ITEM FOR INFORMATION
Executive Summary:	To provide members with information on the allocations of Pupil Growth Funding in 2019/20.
Budget/Risk Implications:	None
Recommendations:	1. Schools Forum members are requested to note the allocations of the Infant Class size and Pupil Growth allocations to schools in 2019/20, and the intentions of the LA to request Schools Forum to increase the set-aside Pupil Growth Contingency from £350k to £600k in 2020/21, as part of the Schools Budget proposals elsewhere on this agenda.
Appendices (to be attached)	Pupil Growth funding allocations spreadsheet
Background Papers (available on request)	None
Report Originator and Contact Details	Name: Kevin McBlain Tele: 01519343222 E-mail: Kevin.mcblain@sefton.gov.uk

SCHOOLS' FORUM POWERS & RESPONSIBILITIES

- 1 ITEMS FOR APPROVAL (DfE can adjudicate where Forum does not agree LA proposal)
- 2 ITEMS FOR CONSULTATION (Forum provides a view on LA proposal but LA decides)
- 3 ITEMS FOR CONSULTATION (Forum provides a view on LA proposal but DfE decides)
- 4 ITEMS FOR INFORMATION (No formal view of the Forum is sought)

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Schools Forum meeting 13th January 2020

1. Purpose of Report

- 1.1 To inform Schools Forum of the funding allocations from the Infant Class Size and Pupil Growth funding contingency set aside in 2019/20 (£350k).

2. Background

- 2.1 From 2019/20, Pupil Growth funding was changed by the DfE, from an historic pupil base calculation, to a real pupil growth allocation, based on annual increases in pupil numbers between the latest census data and the previous year's census data. Funding is then calculated on a per pupil basis and allocated to LA s accordingly.
- 2.2 The Pupil funding growth awarded to Sefton for 2019/20 was subsequently increased, from a low historic base of £150k, to £577k and the DfE offered some guidance on the new Pupil Growth allocations from 2019/20, leaving Local Authorities and their Schools Forum to decide what levels of this funding, should be set aside as a contingency. Member of Schools Forum may therefore recall, when setting the budget for 2019/20 in February 2019, that they agreed to increase the set aside funding allocation for Regulatory Infant class size and Pupil Growth contingency from £150k to £350k following a change in 2019/20 to the funding mechanism by the DfE, leaving a balance of £227k for reallocation through the Schools Formula.
- 2.3 Pupil Growth funding is announced separately to the main Schools Block allocation, and each LA also has to have an agreed policy with their Schools Forum on use of their Pupil Growth contingency, and Sefton's policy has been brought to Schools Forum recently, including an expansion, to include those schools having significant growth in numbers of pupils between census years within their PAN, but causing the need to deploy extra resources not included for in the Current year's formula funding for the period September to March.
- 2.4 This additionality is now included in Sefton's policy, to include for schools with pupil growth of 10+ or more pupils (comparing year on year census data) for Primary phase; and 20+ or more pupils for Secondary schools.
- 2.5 The aim of the funding is to help schools manage increases in pupil numbers where for example, there are agreed changes in their PAN, to accommodate extra pupils from September to March (7/12ths of a year), or where, as explained above, there are sudden increases in pupil numbers within their PAN from September, and where these numbers are not picked up in the formula for the current year.
- 2.6 The funding criteria determines, that this Contingency cannot be exceeded and that Infant Class size changes/Regulatory requirements must first be

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accommodated, before funding for other pupil number changes can be considered within the available resources.

3. Current Position and Costs

- 3.1 As part of the agreed funding mechanism mentioned above, consideration has to be given first, to Infant Class size regulation allocations; and having considered those schools with ongoing agreed funding needs through agreed PAN changes and other Infant Class adjustments from September 2019, £208k of funding provision has to be made available. The remaining balance of available funding from the contingency, is therefore £142k for 2019/20.
- 3.2 With the subsequent publication of the Census 2019 data in December 2019, an analysis can now be provided, to show all the schools having greater than 10 (Primary) and 20 (Secondary) increases in pupil numbers between census years, and this is shown in the attached appendix for information.
- 3.3 There are some quite big increases in pupil numbers, particularly across the Secondary Phase from September, and in fact, greater than anticipated when the contingency was set-aside back in February 2019, with 8 Primary Schools (2 already in receipt of Pupil Growth funding ongoing) and 7 Secondary Schools at, or in excess of, the criteria. Note, that schools already in receipt of pupil growth funding ongoing, cannot receive further funding through the new pupil growth criteria, as agreed in the funding policy.
- 3.4 The calculations, after applying the agreed NFF AWPU elements to the excess numbers (and excluding the two Primary schools already in receipt of some pupil growth funding), suggests there is an 'over-application' of the available contingency by £246k in 2019/20, and the funding allocations to these schools has therefore had to be scaled down accordingly, on a pro-rata basis, to align with the available Contingency as allocated by Schools Forum. The Table below now summarises the funding allocations arising for 2019/20 from the more detailed calculations shown in the appendix for information.

Table showing the allocations of Pupil Growth Contingency Funding in 2019/20

<u>School</u>	<u>Allocation of Pupil Growth Funding 2019/20 (£)</u>
<u>PRIMARY PHASE</u>	
<u>(Ongoing Pupil Growth Funding Infant class size Regs)</u>	
Great Crosby RC Primary	37,878
Linaker Primary	37,878
Norwood Primary	37,878
Birkdale Primary	37,878
Linacre Primary	28,153

Our Lady Queen of Peace RC Primary	28,153
Funding Allocation (ongoing regulatory)	207,818
<u>Pupil Growth in Numbers within PAN year on year scaled down to match available funding levels</u>	£
PRIMARY PHASE	
Hudson Primary	5,287
Litherland Moss Primary	1,762
Redgate Community Primary	6,461
Kings Meadow Primary	3,524
Christ Church - Church of England Primary	9,399
St Johns Church of England Primary	1,762
	28,195
SECONDARY PHASE	
Kings Leadership Academy - Hawthornes	23,954
Formby High School	10,738
Chesterfield High School	14,868
Birkdale High School	32,626
Meols Cop High School	4,130
Maghull High Schools	22,715
Holy Family Catholic High School	4,956
	113,987
Total Pupil Growth Contingency Funding Allocations 2019/20 (19 schools)	350,000

3.5 Members will note, that the Schools Budget report on this agenda, indicates that the recent Pupil Growth funding for 2020/21, announced in December, will see Sefton’s allocation increasing from £577k to £946k; and that the LA is recommending in that Report, that the Pupil Growth contingency increases from £350k to £600k in 2020/21, to accommodate future likely demand on this funding.

4. Recommendations

4.1 Schools Forum members are requested to note the allocations of the Infant Class size and Pupil Growth allocations to schools in 2019/20, and the intentions of the LA to request Schools Forum to increase the set-aside Pupil Growth Contingency from £350k to £600k in 2020/21, as part of the Schools Budget proposals elsewhere on this agenda.

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Infant Class Size and Supporting Schools with Significant Growth in Pupil Numbers 2019/20

£	School	Cost Centre	Subjective	Finance Officer	Years Remaining
-37,878	Great Crosby	EP26	R8503	Geoff Thornton	2 years to go
-37,878	Linaker	EP39	R8503	Dianne De Costi	Last Year
-37,878	Norwood	EP46	R8503	Ruth Gregory	3 years to go
-37,878	Birkdale Primary	EP14	R8503	Geoff Thornton	Last Year
					Need to keep number of classes for admission numbers into
-28,153	Linacre Primary School		R8503	Diane Hinton	area
					Need to keep number of classes for admission numbers into
-28,153	Our Lady Queen of Peace		R8503	Cristell McCoy	area
-207,818	Total Committed				
350,000	Total Growth Fund available in 2019/20	EL11	U0001		
142,182	Remainder available to commit in 2019/20				142,182

M6 (Sept - March)	£28,153	
TA2 (27.5hrs (0.5 Sep - Mar))	£4,725	new classes only
Resources	£5,000	new classes only
	£37,878	

Primary	Oct-18	Oct-19			Pupil Growth Allocations in 2019/20	
			£2,747			
Birkdale Primary School	401	412	11	N/A - already qualified	Inf Class Funding	
Norwood Primary School	498	533	35	N/A - already qualified	Inf Class Funding	
Hudson Primary School	175	193	18	9	£14,422	3.72% £5,286.73
Litherland Moss Primary School	180	192	12	3	£4,807	1.24% £1,762.24
Redgate Community Primary School	163	183	20	11	£17,627	4.54% £6,461.56
Kings Meadow Primary School and Early Years Ec	182	197	15	6	£9,614	2.48% £3,524.49
Christ Church Church of England Controlled Prim	353	378	25	16	£25,639	6.61% £9,398.63
St John's Church of England Primary School	193	205	12	3	£4,807	1.24% £1,762.24
Secondary			£3,863			
King's Leadership Academy Hawthornes	276	324	48	29	£65,343	16.85% £23,953.60
Formby High School	877	909	32	13	£29,292	7.55% £10,737.82
Chesterfield High School	1045	1082	37	18	£40,558	10.46% £14,867.75
Birkdale High School	725.5	784	58.5	39.5	£89,002	22.95% £32,626.46
Meols Cop High School	836	860	24	5	£11,266	2.90% £4,129.93
Maghull High School	720.5	767	46.5	27.5	£61,963	15.98% £22,714.62
Holy Family Catholic High School	769	794	25	6	£13,519	3.49% £4,955.92
					£387,859	
Funding available					£142,182	
Unfunded due to over subscription of contingency pot / restricted allocation needed					-£245,677	

Infant Class Size and Supporting Schools with Significant Growth in Pupil Numbers 2019/20

£	School	Cost Centre	Subjective	Finance Officer	Years Remaining
-37,878	Great Crosby	EP26	R8503	Geoff Thornton	2 years to go
-37,878	Linaker	EP39	R8503	Dianne De Costa	Last Year
-37,878	Norwood	EP46	R8503	Ruth Gregory	3 years to go
-37,878	Birkdale Primary	EP14	R8503	Geoff Thornton	Last Year Need to
-28,153	Linacre Primary School		R8503	Diane Hinton	keep Need to
-28,153	Our Lady Queen of Peace		R8503	Cristell McCoy	keep
<u>-207,818</u>	Total Committed				
350,000	Total Growth Fund available in 2019/20	EL11	U0001		

Sefton Schools Forum Report

Date of Meeting:	January 2020
Title of Report:	Trade Union Facilities Administration
Presenting Officer:	Kevin McBlain
Reason for Submission to Forum:	(2) ITEM FOR CONSULTATION
Executive Summary:	To provide members with information on the proposed changes to the Trade Union Facilities Administration from April 2020.
Budget/Risk Implications:	None
Recommendations:	<ol style="list-style-type: none"> 1. Schools Forum members are requested to agree the content and proposals contained in this report. 2. Schools Forum to formally recommend all schools and academies buy- in to the continuation of the local authority's union facilities agreement. The aim being for all schools and academies by contributing to a 'pool' to share the costs of paying for teaching trade union facilities time and draw on an established wealth of expertise.
Appendices <small>(to be attached)</small>	None
Background Papers <small>(available on request)</small>	None
Report Originator and Contact Details	Name: Kevin McBlain Tele: 01519344049 E-mail: Kevin.mcblain@sefton.gov.uk

SCHOOLS' FORUM POWERS & RESPONSIBILITIES

- | | |
|---|--|
| 1 | ITEMS FOR APPROVAL (DfE can adjudicate where Forum does not agree LA proposal) |
| 2 | ITEMS FOR CONSULTATION (Forum provides a view on LA proposal but LA decides) |
| 3 | ITEMS FOR CONSULTATION (Forum provides a view on LA proposal but DfE decides) |
| 4 | ITEMS FOR INFORMATION (No formal view of the Forum is sought) |

Schools Forum meeting January 2020

1. Item for Decision

Schools Forum members are requested to agree the content and proposals contained in this report relating to the financial administration of the costs associated with the teaching unions facilities arrangements.

2 Purpose of Report

This report brings together previous reports information, where appropriate and outlines the proposed funding and financial administration of the union facilities work undertaken on behalf of schools in Sefton from April 2020 and provide information as to costs incurred and work undertaken by union representatives funded against the agreed trade union facilities arrangements.

3. Current Position and Costs

3.1 Trade Union representatives have a statutory right to reasonable paid time off from employment to carry out trade union duties and to undertake trade union training. The facilities agreement provides an effective route for statutory and collective consultation and bargaining, a framework and structure to manage industrial relations and access to branch official from recognised unions, without individual settings being required to put their own potential expensive arrangements in place.

Further detail can be found in the Trade Union Facilities arrangements SLA documentation that has been drawn up in partnership between the Local Authority and the Teacher Trade Unions.

3.2 Funding for facilities time for trade unions representing school based employees at a local/branch level have been primarily funded through the de-delegation of School Block funding by maintained schools, for 2019/20 and by formal buy into the Trade Union Facility Time SLA agreement by a handful of academy schools.

3.3 The following table summarises the funding allocated to each Trade Union for supply teacher cover for the release of union representatives in respect of union facilities work undertaken during the financial year 2019/20.

<u>Charges - based on agreed TU Facility Time Agreement Allocations :-</u>	No. Days	Supply @ U3 = £272
NASWT	4	41,344.00
NEU	5	<u>51,680.00</u>
Total Cost		93,024.00
Plus		
Adhoc requests from schools for supply cover for Public duties requests and NAHT supply release cover (maintained primary schools only)		16,000.00

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Total		109,024.00

- 3.4 Forum members will be aware from facilities agreement documentation that each individual union is allocated manpower resources based on a “time calculation”, which is determined by the level of membership within each trade union. With effect from 1 September 2018 the National Union of Teachers (NUT) and the Association of Teachers and Lecturers (ATL) combined to become the National Education Union. The Local Authority continues working with all the teacher trade union officials to review the current facility time arrangements.
- 3.5 Teaching union colleagues are continuing to review activities and tasks undertaken by those involved under the facilities agreement. In accordance with the facilities agreement trade union representatives carry out a range of complex and demanding activities including advice, representation and negotiation. Representatives attend meetings covering, but not exclusively, disciplinary, grievance, ill health and capability meetings, formal or informal, and consultation meetings on changes to working arrangements including work associated with schools converting to academy status (such as formal sign-off of TUPE arrangements).
- 3.6 Following the review of the existing facility time arrangements it is proposed that the that the annual contribution from all settings to fund a centrally administered union facilities time would be £2.20 per primary pupil and £4.30 per secondary pupil based on pupil numbers as determined by the Schools Block funding formula.
- 3.7 In addition to paragraphs 3.1 and 3.5 above and Trade Union Facilities arrangements documentation, union colleagues have stated that they are willing to provide Forum Members with a briefing note of the support activity and help they give to schools and academies if required. The following three bullet points summarise the intention of the teaching unions facilities arrangement.
- Governing bodies are responsible under employment law for sanctioning paid time off for employees undertaking trade union duties in their own establishment. Lay officials of each recognised union in each workplace, including staff reps and branch secretaries, have the right to time off with pay to carry out trade union duties (section 168 TULRCA, the Trade Union and Labour Relations Consolidation Act).
 - Such officers also have the right to time off for training if related to TULRCA section 178 (2) matters, (terms and conditions, recruitment, suspension, dismissal, work allocation, discipline, union membership, time off facilities and procedures, as outlined in the ACAS code of

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practice below*). Similar rights are conferred on Trade Union Health and Safety representatives under regulation 4(2) of the safety Representatives and Safety Committees Regulation 1997.

- To save every school having to release employee Representatives from each union to have full and updated training in all issues listed above, Sefton operates a local union officer scheme in which a handful of trained elected officers covers all members in all schools, with a pooled funding model to reimburse schools which release an elected officer for boroughwide duties.

3.8 The return on the investment made in trade union facility time will be many times the sum spent. Recent research commissioned for the TUC from the University of Hertfordshire stated that for every £1 spent between £3 and £9 of benefits were accrued.

4. Funding and Charging Rate Proposals

4.1 Based on the content of previous union administrative papers presented to Forum, the number of schools buying into the current trade union arrangements and the above revised costings, it is proposed that £97,470 is allocated provided supply cover for the release of teaching trade union officials from 1st April 2020:

Charges	No. Days	Supply @ U3 = £285
NASWT	4	43,320.00
NEU	5	54,150.00
Total Cost		97,470.00
Plus		
Adhoc requests from schools for supply cover for Public duties requests and NAHT supply release cover (maintained primary schools only)		16,000.00
Total		113,470.00

As well as facility time arrangements, the de-delegated funding from maintained primary schools also includes £7,200 to support staff who are required to be released to cover other public duties (e.g. magistrate duties / Jury Service). It also includes 40 days' supply cover (£8,200) for NAHT branch officers to provide some backfill in school whilst they provide support to other headteacher colleagues and carry casework. The call on this supply will be closely monitored on an annual basis. The cost of both these arrangements is an additional £0.85 per primary pupil based on pupil numbers as determined by the Schools Block funding formula

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Schools Forum (13/01/20)

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- 4.2 Schools Forum is asked to formally recommend all schools and academies buy-in to the continuation of the local authority's teacher union facilities agreement. The aim being for all schools and academies by contributing to a 'pool' to share the costs of paying for teaching trade union facilities time and draw on an established wealth of expertise.
- 4.3 Acknowledge that where schools and academies do not buy-in to this union facilities arrangement those settings will be responsible for making their own arrangements for covering work areas/tasks etc. and costs associated with release of officials for representation or training. Trade union representatives employed within the school or academy will be entitled to undertake the full range of functions detailed in the facilities agreement and to appropriate training from their respective union. The unions have advised that a school based representative would need cover for approximately 10 days of initial training per official per union.
- 4.4 It is essential that as many schools as possible contribute to ensure good provision of assistance (skills and experience) and having help readily available to those schools and academies that need it, when they need it. In addition, it is proposed that the commitment entered by schools and academies is for three years (this is consistent with all other support functions offered to schools by the local authority), thereby providing stability and predictability to the quality and level of service provided.
- 4.5 Union officials are actively canvassing schools and academies to commit to arrangements outlined in this paper.
- 4.6 The facility time arrangements for non-teaching unions is currently under review, along with the funding mechanism for contributions from schools, and will be reported to Schools Forum when the review is completed
5. Financial Administration Proposals
 - 5.1 The process for funding the union facilities agreement work from April 2020 will be as follows:
 - The identified costs of providing the service, see above, will be recovered from schools and academies as a contribution based on a standard amount per pupil (for all pupils aged reception to post 16 in primary and secondary schools), agreed planned places for special schools and alternative provision schools and full-time equivalent for nursery aged pupils (nursery schools and nursery classes). Nursery aged pupils attending for 30 hours will be deemed to be 1.0fte.
 - A single point count will be made upon which the annual levy will be based, the October Census data, preceding the traditional financial year, will be used.
 - 5.2 The annual contribution will be recovered through:

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- De-delegation, for maintained schools, Schools Forum representatives will be asked to agree, as part of the formal funding model discussion to approve, the charge to be deduced from the school's individual budgets. The funding model will generate each school's contribution and the amount will be separately identified on the schools' funding statement
 - For academies, an "invoice" will be prepared, based on the total membership of each MAT/trust rather than at individual academy establishment level. This will reduce the administration of income collection. Income will be collected during April.
- 5.3 Schools and academies have agreed to sign up to this arrangement for three years, from April 2019 with a review of current arrangements being undertaken in September 2021. However, all schools and academies will be able, as with most schemes previously offered through the local authority, to give three months' notice prior to the start of the following year's provision to withdraw from these arrangements (allowing academies and maintained schools to review their commitment on an annual basis).
- 5.4 Where schools and academies provide staff release time to undertake agreed union facilities agreement work they will be reimbursed for supply cover up to maximum of Teachers Upper Pay point 3.
- 5.5 The scheme will operate based on the financial year April to March.
- 6 What next?
- 6.1 If the above is accepted by Schools Forum, local authority and union colleagues will seek to;
- a) Formal commitment from all academies and MATs to these arrangements
 - b) Progress the de-delegation arrangements for maintained schools as part of the budget approval process which is included in the 2020/21 DSG School Funding paper to be discussed later in this January 2020 Schools Forum meeting.
- 7 Recommendations
- 7.1 Schools Forum members are requested to agree the content and proposals contained in this report.
- 7.2 Schools Forum to formally recommend all schools and academies continue to buy- into of the local authority's union facilities agreement. The aim being for all schools and academies by contributing to a 'pool' to share the costs of paying for teaching trade union facilities time and draw on an established wealth of expertise.

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**EARLY YEARS DEVELOPMENT GROUP
MINUTES OF MEETING HELD AT SEFTON PDC
ON THURSDAY 24 OCTOBER 2019**

PRESENT: Lynda McQueen (Chair)
Celia Wilson, Clare Friar, Debbie Summers, Joy Calderbank, Kevin McBlain, Rita Omar, Alice Apel, Ginny Taylor, Ez Mills, Suzanne Payne, Alicia Cavanagh (Minutes)

APOLOGIES: Jacquie Finlay, Bernice Brown, Jenny Taylor, Nicky Walsh

		Action
1.	<p>Welcome and Introductions</p> <p>Lynda McQueen welcomed the group and introductions were made as some were new to the group.</p>	
2.	<p>Minutes of last meeting – 17/09/19</p> <p>Page 2 – Celia Wilson said it should read ‘looking for an increase in appropriate referrals’</p> <p>Page 4 – Debbie Summers reported that the target figure given by DfE was unrealistic, there was nothing we could do to fill places.</p> <p>Chair agreement – Schools Forum have asked that we appoint an Independent Chair. Bernice Brown is unable to fulfil the role now. Dot Tinsley is being replaced by Helen Pruden. She is happy to be the Chair of this group. She will also sit on the 2YOO Integrated Review group too. Helen starts with Sefton in December.</p> <p>Suzanne Payne asked whether the group had thought about a Vice Chair. LMQ and SP agreed to share this role.</p>	
3.	<p>Early Years Balances – Kevin McBlain</p> <p>Paper circulated to group.</p> <p>Mark Chambers had addressed some of the issues at the previous meeting. KMcB has put this paper together to explain further.</p>	

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Over time estimates have been higher from DfE than take up, so balances rolled forward. Once DfE have used the Census, figures start to become more realistic.

High Needs Funding (HNF) forecast is £3.5m overspend, carrying over a huge deficit. DfE will require us to have a plan from next year as to how to reduce this.

Early Years contributes to HNF, as do schools. From 2019/2020, 0.5% from school's block = £790K. We are asking the same from schools for 2020/2021. Early Years contribution covers top-ups paid by HNF to the Private, Voluntary and Independent (PVI) nurseries etc. for SEN children. £66m has been put into early years and this will increase rate of places for 2, 3 & 4-year olds. No calculation as yet as no figures have been published. The will be an announcement in December.

SP asked if this group could look at the forecast/calculations at the next meeting. Agreed.

SP reported that at the next SAPH meeting, heads will be shocked when they realise the amount carried forward in the early years block. The remit of this group is to make sure this doesn't happen in the future and funding for early years children goes to early years children.

LMQ suggested that this group regularly needs to have access to balances for early years and HNF to give an indication of gaps or where training for practitioners is required.

KMcB agreed that the underspend on early years should be looked at within this group for training etc. to benefit early years children.

Ez Mills said this needs to be captured and look at what is already being delivered and packages that could be put together.

It has been agreed that there would be a training subgroup, to look at needs and themes etc.

LMQ asked EM if he could explain about the MAPS meetings.

This stands for Multi Agency Planning Support. It was set up around the 2YOO with Education and Health and looks at children known to our services and additional support moving forward. Members include: Portage, SEN

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	<p>Inclusion, Ez Mills, Clare Friar, SLT and OT. The meetings are divided between North & South based on a termly basis. The task is to formalise meetings in a better way and look at the functions i.e. eligibility and discretionary places.</p> <p>The issues are decisions around HNF. Do we need an Early Years group? Also, resource nursery provision. Need to have a strategic oversight to address these issues.</p> <p>LMQ reported that discretionary funding criteria has been amended in discussion with EM. The criteria has been tightened and eligibility options reduced. To be shared at the next meeting.</p> <p>Suzanne Payne asked if we only pay for Looked After Children (LAC) living in Sefton. CF replied that if they attend a setting in Sefton, we pay regardless of where they are looked after.</p>	LMQ
4.	<p>Training Subgroup</p> <p>LMQ asked who the members of this group would be and when will it meet. SP said there is an arrangement with Teaching Schools already, so need to link into that.</p> <p>It was agreed representation should be:</p> <ul style="list-style-type: none"> • Rep from Teaching School Partnership – Jen Teasdale/Suzanne Payne • School Readiness – LMQ and a Quality Improvement Officer • Health – Celia Wilson • Family Wellbeing Centre – • 2YOO – Clare Friar • SEND – Ez Mills or a representative • PVI sector – Ginny Taylor/Alice Apel <p>SP asked if an amount be allocated each year from the balance block for training. KMcB replied yes.</p>	

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	<p>The training subgroup to put together draft requirements and cost this up to bring back to this group. This would have to be agreed by the Schools Forum.</p> <p>Alice Apel queried the reduction in EYrs Training for practitioners. Is this due to the School Readiness Team diminishing and also uptake of places.</p> <p>KMcB replied to say there was funding for training and was put against 2YO but then not used.</p> <p>LMQ reported that there is an issue in PVI with recruitment and capacity to send staff out on training. Lack of uptake so courses are cancelled. We need to look creatively and how we deliver. One-off training courses are not working. It is more impactful to deliver in-house.</p> <p>The remit of the training subgroup is to look at this further and develop.</p> <p>The next Schools Forum is 13th January. The training subgroup needs to meet before this, so they can feed into this group.</p> <p>It was agreed that a training subgroup will meet in November and this group in December.</p>	
<p>5.</p>	<p>2YOO Update</p> <p>CF reported that there has been a big push on places. She has been to visit all partners to promote the 2YOO.</p> <p>Numbers did drop to less than 70%, they have always been approx. 90% uptake. A factor of this is due to the closure of childcare in centres, and online applications.</p> <p>Every Family Wellbeing Centre now has a 2YOO Champion. Numbers are now up to 83%.</p> <p>The Census takes place the third week in January.</p> <p>Moving forward we will look at quantifying figures and look at areas where take up is low.</p> <p>CF to send fliers and postcards out to the Health Sector.</p>	
<p>6.</p>	<p>Ofsted Training</p> <p>The Ofsted framework will be part of the Training Subgroup remit.</p>	

7.	<p>Early Help / Huddle</p> <p>The Early Help Strategy Launch will take place on 20th November at Crosby Lakeside. There are 3 sessions; 9.30am, 12.30pm and 4.30pm. Invites have been sent out, but places can also be booked through alicia.cavanagh@sefton.gov.uk</p> <p>The Huddle is a new way of working. Sefton have explored three other Local Authorities do this already. It is a multiagency proactive discussion. Multi Agencies meet to discuss ‘stuck places’. More details will be explained at the Launch.</p> <p>Bootle is currently being piloted (South Locality). Meetings take place every Thursday in Magdalen House, 9.30 – 11.00am with Chris White and Amanda Hughes. People from anywhere in South can bring a ‘stuck case’ . Examples of attending partners to date: Nursery Schools; PVI setting; One Vision Housing; Housing Options; Social Care; FWB.</p> <p>Potential for real impact is huge. It is a drop-in session. People attend either to bring a case or to offer support to someone else’s case.EH Launch will expand on the details</p>	
8.	<p>Any other business</p> <p>Celia Wilson asked if there is a Sefton wide database for services to access FWB. LMQ replied that on the Sefton Website there is a section called ‘Find My Nearest’ which locates nearest leisure facilities, FWBCs, libraries etc.</p> <p>KMcB said there needs to be a link between this group and Schools Forum i.e. minutes and papers from this group.</p> <p>SP said minutes needs approving by this group first, before going to Schools Forum. If Jenny Taylor can’t attend, there needs to be a representative.</p> <p>There is a vacancy for PVI representative. Ginny Taylor and Alice Apel agreed to share this role.</p> <p>Future dates of Schools Forum: Monday 4 November, Monday 13 January, plus one in March (tbc). All take place at 10.00am, Sefton PDC.</p>	

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9.	Dates and times of meetings Development Group: Thursday 19 December 2019 1.30pm – 3.00pm Sefton PDC Training Subgroup: Monday 25 November 2019 9.30am – 11.00am Sefton PDC	Monday 24 February 2019 9.30am – 11.00am Sefton PDC
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Early Years Development Group

Thursday 19 December 2019

1.30 – 3.00pm

Sefton PDC

	Agenda	Person responsible
1.	Apologies	Chair
2.	Minutes of last meeting	Chair
3.	Feedback from Training Subgroup	Suzanne Payne
4.	Feedback from SENS training initial meeting	Ez Mills and Lynda McQueen
5.	2-Year-Old Offer	Lynda McQueen
6.	Early Years Funding Report	Debbie Summers and Joy Calderbank
7.	Date and time of next meeting	Chair

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Early Years Development Group 19 December 2019

Paper on Early Years Indicative Funding 2020/21

1. Background

- 1.1 At the last meeting of the EY development Group, held on 24 October 2019, Kevin McBlain, from Finance, informed the Group that there would likely be an announcement by the DfE on Early Years funding for 2020/21 sometime around early December, in line with previous years. However, the DfE made an earlier announcement, on 31 October 2019, of an increase to the Hourly rates payable to Local Authorities for the 2-Year-old Offer, and the 3-4-Year-old universal and additional hours of childcare next year. This is part of an extra £66m funding nationally being put into Early Years in 2020/21.
- 1.2 Sefton will receive a Base funding rate increase from £5.22 p hour to £5.30 p hr for 2-Year-Old provision; and for 3-4-Year-old provision, an increase from £4.30 p hr to £4.38 p hr. This is an increase of £0.08p p hr for each age group (See table below). Note that these rates and the details shown below, exclude other specific funding awarded separately, for Early Years Pupil Premium; Disability Access Funding; and the Maintained Nursery Schools transitional protection funding. These three, separately identified funding elements, will continue to be funded in 2020/21, including the Maintained Nursery protection, which has now been extended to 31.3.21.

<u>EY FORMULA BASE FUNDING RATES PAYABLE TO SEFTON</u>	<u>2 YO Offer</u>	<u>3-4YO Entitlement</u>
Rates of funding 2017/18 to 2019/20	£5.22 P hr	£4.30 P hr
Indicative Rates of funding 2020/21	£5.30 P hr	£4.38 P hr
Increase to funding Per Hour	£0.08 P hr	£0.08 P hr
% Increase	+1.53%	+1.86%
TOTAL INDICATIVE EY FUNDING INCREASE based on Jan 2019 Headcount and Hours	+£36K	+£257K

2. Funding proposals for Early Years 2020/21

- 2.1 The Local Authority would wish to pass on the whole of this funding increase to Providers in 2020/21 through the Base Hourly Rates payable for childcare. It is further proposed, that an element of the Early Years contingency budget should be taken from the 3-4-Year-Old funding, to enable an hourly Base rate increase of £0.10p per hour to be applied to the

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Basic Rate for the 3-4 Year Old provision, taking it to £4.10 p hr; and that a transfer of £0.02 p hr from the 2 Year Old central support/training budget be made, to similarly increase the Basic Hourly rate by £0.10 p hr to £5.00 p hr from April 2020.

- 2.2 Members of Schools Forum were made aware, in early November, that the Local Authority intend to take £200k from the Early Years Block funding in 2020/21, towards High Needs budget pressures, similar to 2018/19 and 2019/20. This funding is added into the Early Years Inclusion budgets within High Needs and is spent across the Early Years providers to support children with SEND in Early Years settings; and top-up funding for SEN nursery children, and so, in essence, recirculates back to Early Years provision. This contribution does not impact on the base hourly rates paid to providers above and is met out of the Early Years contingency budget, which was originally set aside for training and other EY issues.
- 2.3 An appendix is provided (attached) to show the existing 2019/20 allocations and proposed allocation of Early Years funding for 2020/21. This is broken down and analysed for information and discussion. The proposal is expected to go to Schools Forum on 13 January, along with the Schools and High Needs funding budgets, for formal approval.
- 2.4 In the appendix, Members will note, that there are two contingency funding elements within the 3-4-Year-old provision totalling £202k in 2019/20 and £138k in 2020/21. One of these was set aside, originally, as a prudent measure to be used towards unforeseen costs across the sector, and towards Provider training needs, and also, towards the cost of financial checks and audits of Providers, to ensure correct application of public funding (£27k); and to meet the costs of Capita and Egress licences associated with Early Years provision (£25k pa). The other contingency element is an amount of c. £56k funding, formerly held within the Council's core (Schools and Families) budgets, and used for supporting vulnerable families with children in need, who would benefit from some wrap-around early years childcare, subject to an eligibility criterion. This funding has not been applied in recent years, but the EY development group may wish to recommend its future application, based on similar criteria, or just to agree to subsume it into the general contingency funding for the sector.
- 2.5 In addition to these, a contribution of £200k has been made from EY funding towards High Needs budget pressures over the last two years and continuing into 2020/21. This funding has been taken out of, what the DfE referred to, as transitional formula protection funding, which funding was meant to be reducing annually, but has remained. This was never allocated to Providers on the basis of it tapering out.
- 2.6 Given the proposal to take some element of the contingency funding towards increasing the Hourly rate in 2020/21 by a further £0.02 p p hr, the balance next year would be around £138k including the former wrap-around support grant mentioned above. Taking the above costs into account including the audit of Providers and Capita costs (£52k), the balance available for use would be around c.£86k. Should the Group suggest the revised

application of the £56k towards supporting wrap-around childcare for vulnerable families, the amount available for use on training initiatives across Early Years etc would reduce to around £30k.

- 2.7 Notwithstanding, there are also Early Years Centrally Retained DSG balances brought forward from 2018/19 and ongoing, for use on Early Years issues and this may be a further source of available one-off funding in the year.

3. Recommendations

- 3.1 The Early Years Development Group are asked to discuss the LA proposal to increase the Base Hourly Funding Rate paid to all providers for the 2-Year-Old Offer and the 3-4-Year-Old funding by £0.10p p hr from 1 April 2020, and to note that Deprivation funding will continue to be paid on the current scale, ranging from £0.05p p hr to £0.15 p p hr.
- 3.2 To agree to the LA proposal to recommend to Schools Forum, an increase to the base hourly rate for all Early Years providers by £0.10p p hr from 1 April 2020, and to consider any proposals for potential areas of spending which may affect all Providers e.g Training and support etc out of the available Early Years contingency budget in 2020/21, as referred to above, and including use of the Early Years inclusion funding towards wrap around care for vulnerable families.
- 3.3 To note the DfE's announcement as regards the ongoing transitional protection funding for Maintained Nursery Schools being extended to March 2021 (funding level yet to be determined by the DfE); and to note the ongoing availability of funding for the Early Years Pupil Premium Grant and Disability Access Funding for those parents/children eligible in 2020/21, paid at the same rates as 2019/20.
- 3.4 To note the intention of the Local Authority to transfer £200k Early Years funding to High Needs in 2020/21. This allocation would be reviewed for 2021/22, subject to a review of High Needs spending processes.

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EARLY YEARS FUNDING 2020/21

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CURRENT FUNDING ALLOCATIONS 2019/20

£

NATIONAL FUNDING ALLOCATIONS AS AT JULY 2019 - UPDATED USING JAN 2019 HEADCOUNT DATA

		<u>PTE Children Univ 15 hrs</u>	<u>Addit 15 hrs</u>	<u>Total Hours for funding</u>
HEADCOUNT DATA		3,781.22	1,860.11	5,641.33
FUNDED HOURS 2019/20	38 TERM-TIME WEEKS PAYABLE	<u>Hours</u> 2,155,293.50	<u>Hours</u> 1,060,262.31	<u>Hours</u> 3,215,555.81

3/4 YEAR OLD ENTITLEMENT

£ Rate

TOTAL FUNDING AS AT NOV 2019	4.30	9,267,762.05	4559127.934	13,826,889.98	<u>%age</u>
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FUNDING ANALYSIS

Breakdown application of funding received

3-4 YO Base funding to all Providers	4.00			12,862,223.24	88.12%
3-4 YO Deprivation based on 3 levels : 0.05p / 0.10p / 0.15p p hour	AVE	0.10		321,555.58	2.20%
Support towards SEN Inclusion		0.02		64,311.12	0.44%
Early Years Contingency for training / all providers		0.05		145,993.81)	1.00%
Early Years Inclusion Grant (previously Schools and Families)		0.02		56,593.78)	0.39%
Early Years 3-4 YO Central support - School Readiness; Family Wellbeing; Finance		0.05		176,212.46	1.21%
		<u>4.24</u>		<u>13,626,889.98</u>	
Contribution from Contingency towards High Needs budget pressures		<u>0.06</u>		<u>200,000.00</u>	1.37%
		<u>4.30</u>		<u>13,826,889.98</u>	

Extra Funding outside of the Early Years rates

Maintained Nursery Schools protection funding				537,853.00	3.68%
Disability Access Funding				67,035.00	0.46%
Early Years Pupil Premium				164,211.00	1.13%
				<u>3-4 YO Funding 2019/20</u>	<u>100.00%</u>
				<u>14,595,988.98</u>	

2 YEAR OLD OFFER FUNDING 2019/20

NATIONAL FUNDING ALLOCATIONS AS AT JULY 2019 - UPDATED USING JAN 2019 HEADCOUNT DATA

		<u>PTE Children 15 hrs</u>
		784.70
FUNDED HOURS 2019/20	38 TERM-TIME WEEKS PAYABLE	<u>Hours</u> 447,279.00

2 YEAR OLD ENTITLEMENT

£ Rate

%age

TOTAL FUNDING AS AT NOV 2019	5.22	2,334,796.38	2,334,796.38
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Breakdown application of funding received

2 YO Base funding to all Providers	4.90	2,191,667.10	2,191,667.10	93.87%
Early Years 2 YO Central support - School Readiness / Family Wellbeing and Training requirements	0.32	143,129.28	143,129.28	6.13%
		<u>5.22</u>	<u>2,334,796.38</u>	<u>100.00%</u>

TOTAL EARLY YEARS BLOCK FUNDING 2019/20

DFE ALLOCATION BLOCK 2019/20	16,930,785.36
LESS CONTRIB TO HN	<u>200,000.00</u>
NET FUNDING EY 2019/20	<u>16,730,785.36</u>

*Does not include £224k Clawback in 2019/20 re 2 YO Funding Census changes Jan 19.

PROPOSED NEW RATES FOR 2020/21

£ Rate

See Hours above

3/4 YEAR OLD ENTITLEMENT (NEW NATIONAL RATE PAYABLE TO SEFTON)	4.38	9,440,194.53	4,643,950.92	14,084,145.45	<u>%age</u>
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PROPOSED Breakdown application of funding received in 2020/21

3-4 YO Base funding to all Providers	4.10			13,183,789.82	88.82%
3-4 YO Deprivation based on 3 levels : 0.05p / 0.10p / 0.15p p hour	AVE	0.10		321,555.58	2.17%
Support towards SEN Inclusion		0.02		64,311.12	0.43%
Early Years Contingency for training / all providers		0.03		81,039.58)	0.55%
Early Years Inclusion Grant (previously Schools and Families)		0.02		57,236.89)	0.39%
Early Years 3-4 YO Central support - School Readiness; Family Wellbeing; Finance		0.05		176,212.46	1.19%
		<u>4.32</u>		<u>13,884,145.45</u>	
Contribution from Contingency towards High Needs budget pressures in 2020/21		<u>0.06</u>		<u>200,000.00</u>	1.35%
		<u>4.38</u>		<u>14,084,145.45</u>	

Extra Funding outside of the Early Years rates

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Maintained Nursery Schools protection funding		537,845.00	3.62% *Will be reduced for S:
Disability Access Funding		57,810.00	0.39%
Early Years Pupil Premium		164,213.00	1.11%

Indicative 3-4 YO Funding 2020/21	<u>14,844,013.45</u>	<u>100.00%</u>
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2 YEAR OLD OFFER FUNDING 2020/21

	<u>£ Rate</u>	<u>See Hours above</u>		
2 YEAR OLD ENTITLEMENT (NEW NATIONAL RATE PAYABLE TO SEFTON)	5.30	2,370,578.70	2,370,578.70	<u>%age</u>

PROPOSED Breakdown application of funding received 2020/21

2 YO Base funding to all Providers	5.00	2,236,395.00	2,236,395.00	94.34%
Early Years 2 YO Central support - School Readiness / Family Wellbeing and Training requirements	0.30	134,183.70	134,183.70	5.66%
Indicative funding 2020/21 for 2YO Offer	<u>5.30</u>		<u>2,370,578.70</u>	<u>100.00%</u>

TOTAL INDICATIVE EARLY YEARS BLOCK FUNDING 2020/21

DFE ALLOCATION BLOCK (INDICATIVE)	17,214,592.15	*See note on MNS protection
CONTRIBUTION TO HIGH NEEDS 2020/21	<u>200,000.00</u>	
INDICATIVE NET FUNDING EY 2020/21	<u>17,014,592.15</u>	